# THE MATHIEU GROUP $M_{12}$ AND ITS PSEUDOGROUP EXTENSION $M_{13}$

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ABSTRACT. We study a construction of the Mathieu group  $M_{12}$  using a game reminiscent of Loyd's "15-puzzle". The elements of  $M_{12}$  are realized as permutations on 12 of the 13 points of the finite projective plane of order 3. There is a natural extension to a "pseudogroup"  $M_{13}$  acting on all 13 points, which exhibits a limited form of sextuple transitivity. Another corollary of the construction is a metric, akin to that induced by a Cayley graph, on both  $M_{12}$  and  $M_{13}$ . We develop these results, and extend them to the double covers and automorphism groups of  $M_{12}$  and  $M_{13}$ , using the ternary Golay code and  $12 \times 12$  Hadamard matrices. In addition, we use experimental data on the quasi-Cayley metric to gain some insight into the structure of these groups and pseudogroups.

#### 1. Introduction

Sam Loyd's classic 15-puzzle consists of 15 numbered tiles placed in a  $4 \times 4$  square grid, with one square, the hole, left empty. To solve the puzzle, one slides the tiles around the grid until they are in a specified order. Each sequence of slides induces a permutation in the symmetric group  $\mathfrak{S}_{16}$ . The permutations arising from closed sequences of slides—that is, sequences that return the hole to its initial location—form a subgroup of the symmetric group  $\mathfrak{S}_{15}$ , with the group operation given by concatenation of sequences. This subgroup is known to be the alternating group  $\mathfrak{A}_{15}$  (see [Archer:1999]).

We study an analogous game, first mentioned in [Conway:1987], in which the  $4 \times 4$  grid of Loyd's puzzle is replaced by  $\mathbb{P}_3$ , the projective plane of order 3. In the "basic game", we place numbered counters on 12 of the 13 points of  $\mathbb{P}_3$ , leaving a hole at the thirteenth point. The elementary move, analogous to sliding an adjacent tile to the empty square in Loyd's puzzle, is a double transposition taking place in a line containing the hole. The basic  $\mathbb{P}_3$ -game group  $G_{\text{bas}}$  consists of the permutations of the 12 counters coming from closed move sequences. We shall prove that  $G_{\text{bas}}$  is isomorphic to the Mathieu group  $M_{12}$ .

We give the name  $M_{13}$  to the set of permutations induced by arbitrary (not necessarily closed) move sequences. This is a subset of  $\mathfrak{S}_{13}$ , but is not a group, because concatenation of arbitrary move sequences is not always allowed. Specifically, a move sequence moving the hole from p to q may be followed by one taking the hole from r to s if and only if q = r.

The  $\mathbb{P}_3$ -game can be extended in two ways. First, we can make the counters two-sided and modify the definition of a move to flip certain counters. We study

<sup>2000</sup> Mathematics Subject Classification. Primary 20B25; Secondary 05B25, 51E20, 20B20. Key words and phrases. Mathieu group, finite projective plane, Golay code, Hadamard matrix. Third author supported in part by an NSF Postdoctoral Fellowship.

this "signed game" in Section 3. The group  $G_{\rm sgn}$  resulting from this change is the nontrivial double cover  $2M_{12}$  of the Mathieu group (see [Conway:1985, pp. 31–32]), realized as the automorphism group of the ternary Golay code  $\mathscr{C}_{12}$ . The set  $2M_{13}$  of all reachable signed permutations is thus a double cover of  $M_{13}$ .

A second way to extend the basic game is to place a second set of counters on the lines of  $\mathbb{P}_3$ . We study this "dualized game" in Section 4. This approach yields another proof (using Hadamard matrices) that the group  $G_{\text{bas}}$  is isomorphic to  $M_{12}$ ; in addition, we obtain a concrete interpretation of an outer automorphism of  $M_{12}$ .

 $M_{12}$  is unique among groups in having a faithful and sharply quintuply transitive action on a 12-element set. Our construction of  $M_{13}$  suggests the following question: does  $M_{13}$  have a sextuply transitive "action" on the 13-element set  $\mathbb{P}_3$ ? In general, the answer is no, but  $M_{13}$  does exhibit some limited forms of sextuple transitivity, which we describe in Section 5.

In Section 6, we study the quasi-Cayley metric on  $M_{12}$  and its extensions, in which the distance  $d(\sigma,\tau)$  between two permutations is the minimum number of moves of the basic or signed game needed to realize  $\sigma^{-1}\tau$ . We programmed a computer to generate lists of all positions of each of the versions of the  $\mathbb{P}_3$ -game. The data in these lists provides a starting point for investigation of various aspects of the structure of the groups and pseudogroups. For instance, the 9-element tetracode (see, e.g., [Conway:1999, p. 81]) appears as the subgroup of  $M_{12}$  consisting of the starting position of the basic game, together with the 8 positions at maximal distance from it.

The construction of  $M_{13}$  was first given by the first author in [Conway:1987]. Much of the material of this paper comes from the third author's undergraduate thesis [Martin:1996], written under the direction of the second author.

## 2. The basic and signed $\mathbb{P}_3$ -games

2.1. Finite projective planes. We begin by reviewing the definitions and facts we will need concerning  $\mathbb{P}_3$ .

Let  $\mathcal{P} = \{p, q, \dots\}$  be a finite set of points and  $\mathcal{L} = \{\ell, m, \dots\}$  be a finite set of lines. Each line may be regarded as a set of points; we write  $\mathcal{L}(p)$  for the set of lines containing a point p.

**Definition 2.1.** Let  $n \geq 2$ . The pair  $(\mathcal{P}, \mathcal{L})$  is a projective plane of order n if the following conditions hold:

- (1)  $|\mathcal{P}| = |\mathcal{L}| = n^2 + n + 1$ .
- (2)  $|\mathcal{L}(p)| = |\ell| = n + 1$  for every  $p \in \mathcal{P}$  and  $\ell \in \mathcal{L}$ .
- (3) Two distinct points p, q determine a unique line  $\overline{pq}$ , that is,  $\mathcal{L}(p) \cap \mathcal{L}(q) = \{\overline{pq}\}.$
- (4) Two distinct lines  $\ell, m$  determine a unique point, that is,  $|\ell \cap m| = 1$ . (We often write  $\ell \cap m$  for the unique intersection point.)

The most familiar example of a finite projective plane is the algebraic projective plane  $\mathbb{P}_n$ , where n is a prime power.  $\mathbb{P}_n$  is defined as follows. Let  $\mathbb{F}_n$  be the field of n elements, and let  $\mathcal{P}$  and  $\mathcal{L}$  be respectively the sets of 1- and 2-dimensional vector subspaces of  $(\mathbb{F}_n)^3$ , with incidence given by inclusion. Then  $\mathbb{P}_n = (\mathcal{P}, \mathcal{L})$  is a projective plane of order n.

An *oval* (resp. *hyperoval*) in a projective plane of order n is a set of n+1 (resp. n+2) points, no three of which are collinear. For example, a smooth conic in an algebraic projective plane is an oval. Also, there is no such thing as a "hyperhyperoval", for the following reason. Let S be a set of n+3 or more points in a projective plane of order n, and  $p \in S$ . There are n+1 lines containing p, so by the pigeonhole principle there exist two distinct points in  $S \setminus \{p\}$  which are collinear with p.

For the rest of the paper, we shall be exclusively concerned with the algebraic projective plane  $\mathbb{P}_3$ . Note that  $\mathbb{P}_3$  has 13 points, each lying on 4 lines, and 13 lines, each containing 4 points. By elementary counting,  $\mathbb{P}_3$  has  $13 \cdot 12 \cdot 9 \cdot 4 = 5616$  ordered ovals.

**Proposition 2.2.** (1) Up to isomorphism,  $\mathbb{P}_3$  is the unique finite projective plane of order 3.

- (2) The automorphism group  $\operatorname{Aut}(\mathbb{P}_3)$  acts sharply transitively on ordered ovals; in particular,  $|\operatorname{Aut}(\mathbb{P}_3)| = 13 \cdot 12 \cdot 9 \cdot 4 = 5616$ .
- (3)  $\mathbb{P}_3$  contains no hyperovals.

These facts are well known (see, e.g., [Cameron:1991]). We prove them here by constructing an explicit labelling for the points and lines of  $\mathbb{P}_3$ , which we will use in the proofs of Proposition 3.1 and Theorem 5.3.

Proof. Let  $\mathbb{P}_3$  be a projective plane of order 3. Let  $O=(q_1,q_2,q_3,q_4)$  be an ordered oval in  $\mathbb{P}_3$ . We will show that each point and each line of  $\mathbb{P}_3$  is determined uniquely as a function of  $q_1,q_2,q_3,q_4$ . This will show that if  $\mathbb{P}_3'$  is any other projective plane of order 3, and  $(q_1',q_2',q_3',q_4')$  is an ordered oval in  $\mathbb{P}_3'$ , then there is a unique isomorphism from  $\mathbb{P}_3$  to  $\mathbb{P}_3'$  sending  $(q_1,q_2,q_3,q_4)$  to  $(q_1',q_2',q_3',q_4')$ . In particular, it will follow that all projective planes of order 3 are isomorphic, with an isomorphism group of order  $13 \cdot 12 \cdot 9 \cdot 4$ . We already know one, namely the algebraic projective plane over  $\mathbb{F}_3$ ; and we know that its automorphism group contains  $\mathrm{PGL}_3(\mathbb{F}_3)$ , a group of order  $(26 \cdot 24 \cdot 18)/2 = 13 \cdot 12 \cdot 9 \cdot 4$ . Hence  $\mathbb{P}_3$  is the unique projective plane of order 3, and  $\mathrm{PGL}_3(\mathbb{F}_3)$  is its full automorphism group. Along the way, we will show that  $\mathbb{P}_3$  has no hyperovals.

The points of  $\mathbb{P}_3$  include:

- the four points  $q_1, q_2, q_3, q_4$ ;
- the three points  $r_1 = \overline{q_1q_2} \cap \overline{q_3q_4}$ ,  $r_2 = \overline{q_1q_3} \cap \overline{q_2q_4}$ ,  $r_3 = \overline{q_1q_4} \cap \overline{q_2q_3}$ ; and
- the six points  $s_{ij}$   $(1 \le i < j \le 4)$  lying on just one of the secant lines  $\overline{q_i q_j}$  to O.

(Recall that a *secant* to an oval is a line determined by two of its points; thus each secant  $\overline{q_iq_j}$  to O contains two  $q_i$ 's, one  $r_i$ , and one other point, which we call  $s_{ij}$ .) This accounts for all 4+3+6=13 points, and in particular shows that there are no hyperovals.

We have accounted for six lines, namely the secants to O. There are also the four tangents to O, the lines which pass through exactly one of its points. The tangent to O at  $q_1$  intersects each of the lines  $\overline{q_2q_3}$ ,  $\overline{q_2q_4}$ ,  $\overline{q_3q_4}$  in a point not on any other secant, which is that line's fourth point. We have thus identified the 4 points on each tangent. Three additional lines remain to be identified.

We claim that  $r_1, r_2, r_3$  are not collinear, and thus that the lines through pairs in  $r_1, r_2, r_3$  complete the roster of lines of  $\mathbb{P}_3$ . Consider for instance  $s_{12}$ , the fourth point on  $\overline{q_1q_2}$ . It lies also on the tangents at  $q_3$  and  $q_4$ . The points on these three

lines are:  $s_{12}$  itself;  $q_1, q_2, r_1$ ;  $q_3, s_{14}, s_{24}$ ;  $q_4, s_{13}, s_{23}$ . Hence the remaining line through  $s_{12}$  goes through  $r_2$ ,  $r_3$ , and  $s_{34}$ . This identifies the line  $\overline{r_2r_3}$  and shows that it does not contain  $r_1$ . Likewise, we find that the line  $\overline{r_1r_2}$  contains  $s_{14}$  and  $s_{23}$ , while  $\overline{r_1r_3}$  contains  $s_{13}$  and  $s_{24}$ .

We have now identified all 13 points and all 13 lines of  $\mathbb{P}_3$  and their incidence relation, as desired. To summarize, the lines are:

The definition of  $\mathbb{P}_3$  is self-dual in the sense that interchanging the terms "point" and "line" preserves the definition. One can label the points by  $\{0, 1, \ldots, 12\}$  and the lines by  $\{\overline{0}, \overline{1}, \ldots, \overline{12}\}$  such that the lines containing the point x have the same labels as the points of the line  $\overline{x}$ . For future reference, we give one such labelling:

2.2. The basic  $\mathbb{P}_3$ -game and  $M_{13}$ . We now describe a "game" similar to Loyd's 15-puzzle, but played on the projective plane  $\mathbb{P}_3$  rather than a square grid. Throughout, we use the self-dual labelling (2.2).

To start the game, we place counters numbered  $1, \ldots, 12$  on the respective points of  $\mathbb{P}_3$ , leaving a hole at the point 0. A move of the game is defined as follows. Suppose that the hole is a point p and that  $\ell = \{p, q, r, s\}$  is a line of  $\mathbb{P}_3$ . Then the move [p, q] consists of moving the counter on q to p and interchanging the counters on r and s. This notation is justified because the pair  $\{r, s\}$  is uniquely determined by the points p and q, by the definition of a projective plane of order 3. Moreover, the move [p, q] transfers the hole from p to q, so the next move must be of the form [q, t] for some t. In general, a sequence of moves can be given by specifying the path traversed by the hole:

$$[p_0, p_1, \dots, p_n] = [p_{n-1}, p_n] \circ \dots \circ [p_1, p_0].$$
 (2.3)

By convention, the move [p, p] is trivial, so there are 12 nontrivial legal moves playable from each position of the game.

The move [p,q] may be regarded as inducing the permutation  $(p \ q)(r \ s) \in \mathfrak{S}_{13}$ , and a move sequence such as that of (2.3) induces the permutation

$$(p_{n-1} p_n)(q_n r_n) \cdots (p_0 p_1)(q_1 r_1),$$

where  $q_i, r_i$  are the other two points on the line  $\overline{p_{i-1}p_i}$  for each i (assuming that the sequence contains no trivial moves). Here multiplication proceeds right to left, as is usual for permutations.

**Example 2.3.** Consider the path [0, 6, 12, 1, 8, 0]. Since the points 0 and 6 are collinear with 4 and 5, the first move [0, 6] induces the permutation (0 6)(4 5). The permutation induced by the entire path is

$$(0 8)(7 12) \cdot (1 8)(4 9) \cdot (1 12)(5 10) \cdot (6 12)(3 9) \cdot (0 6)(4 5)$$

$$= (1 7 12 6 8)(3 4 10 5 9).$$

Two paths are called *equivalent* if they induce the same permutation. We readily check that if p, q, r are collinear then the paths [p, q, r] and [p, r] are equivalent. It follows that every path is equivalent to a path of equal or shorter length in which no three consecutive points are collinear; we say that such a path is *nondegenerate*.

We say that a path  $[p_0, \ldots, p_n]$  is *closed* if  $p_0 = p_n$ . The set of permutations induced by closed move sequences with  $p_0 = p_n = 0$  is a subgroup of the symmetric group  $\mathfrak{S}_{\mathcal{P}\setminus\{0\}} = \mathfrak{S}_{12}$ . We call this subgroup the *basic*  $\mathbb{P}_3$ -game group  $G_{\text{bas}}$ , and denote its identity element by **1**.

The permutations realized by move sequences taking the hole from p to q constitute a double coset of  $G_{\text{bas}}$  in  $\mathfrak{S}_{\mathcal{P}}$ , namely [0,q]  $G_{\text{bas}}$  [p,0]. In the case that p=q, this double coset is a group which we call the q-conjugate of  $G_{\text{bas}}$ .

We denote by  $M_{13}$  the set of all (not necessarily closed) move sequences with  $p_0 = 0$ . This name will be justified when we prove that  $G_{\text{bas}}$  is isomorphic to the Mathieu group  $M_{12}$ . Note that  $M_{13}$  is not a group: the moves available in a given position depend on the location of the hole, so concatenation of move sequences is not always allowed. Rather,  $M_{13}$  is a disjoint union of cosets of  $G_{\text{bas}}$  in  $\mathfrak{S}_{\mathcal{P}} = \mathfrak{S}_{13}$ .

2.3. The signed  $\mathbb{P}_3$ -game. We now describe the signed  $\mathbb{P}_3$ -game, an extension of the  $\mathbb{P}_3$ -game in which each counter has two distinguishable sides. Suppose that the hole is at  $p \in \mathcal{P}$  and that  $\ell = \{p, q, r, s\} \in \mathcal{L}$ . The move [p, q] of the signed game moves the counter on q to p and interchanges the counters on r and s, but it also flips over the counters on r and s. Now a move sequence may be regarded as inducing a signed permutation on  $\mathcal{P}$  (that is, an element of the wreath product  $\mathbb{Z}/2\mathbb{Z} \wr \mathfrak{S}_{\mathcal{P}}$ ).

**Example 2.4.** The path [0, 6, 12, 1, 8, 0] induces the permutation

$$\begin{array}{l} (0\ 8)(\underline{7}\ \underline{12})\cdot (1\ 8)(\underline{4}\ \underline{9})\cdot (1\ 12)(\underline{5}\ \underline{10})\cdot (6\ 12)(\underline{3}\ \underline{9})\cdot (0\ 6)(\underline{4}\ \underline{5}) \\ &=\ (\underline{1}\ \underline{7}\ 12\ 6\ 8)(3\ 4\ \underline{10}\ 5\ \underline{9}). \end{array}$$

Here the underlines denote flips; thus the counter flipped by the move sequence are 1, 7, 9, and 10. Ignoring all the flips is tantamount to removing all the underlines from the calculation, which recovers the unsigned permutation of Example 2.3.

Much of the terminology of the previous section (such as "closed", "degenerate", etc.) carries over to the signed game. The group of signed permutations of  $\mathcal{P} \setminus \{0\}$  induced by closed move sequences is called the *signed*  $\mathbb{P}_3$ -game group, denoted  $G_{\text{sgn}}$ ; and the set of signed permutations induced by the move sequences with  $p_0 = 0$  is called  $2M_{13}$ .

#### 3. The signed game, the Golay code, and the Mathieu group

In this section, we prove the main results that the basic  $\mathbb{P}_3$ -game group  $G_{\text{bas}}$  is isomorphic to the Mathieu group  $M_{12}$ , and that the signed game group  $G_{\text{sgn}}$  is the nontrivial double cover  $2M_{12}$ .

Let  $\mathbb{F}_3 = \{0, 1, -1\}$  be the field of order 3, and let X be a 13-dimensional vector space over  $\mathbb{F}_3$  with basis  $\{x_p \mid p \in \mathcal{P}\}$ . We will write elements of X in the form  $v = \sum_p v_p x_p$ , where  $v_p \in \mathbb{F}_3$ . Define a scalar product on X by

$$v \cdot w = \sum_{p \in \mathcal{P}} v_p w_p. \tag{3.1}$$

The support of the vector v is

$$\operatorname{Supp}(v) = \{ p \in \mathcal{P} \mid v_p \neq 0 \}$$

and its weight is

$$\operatorname{wt}(v) = |\operatorname{Supp}(v)|.$$

We will refer to vector subspaces of X as codes, and to their elements as codewords. The  $minimal\ weight$  of a code X' is

$$\operatorname{wt_{\min}}(X') = \min\{\operatorname{wt}(c) \mid c \in X', \ c \neq 0\}.$$

Let  $\mathcal{C} \subset X$  be the linear span of the 13 vectors

$$h_{\ell} = \sum_{p \in \ell} x_p$$

where  $\ell$  ranges over  $\mathcal{L}$ , and define

$$\mathcal{C}' = \{ c \in \mathcal{C} \mid \sum_{p} c_p = 0 \},$$

a codimension-1 subcode of  $\mathcal{C}$ . (Note that  $\mathcal{C}' \neq \mathcal{C}$  because  $h_{\ell} \notin \mathcal{C}'$ .) We will show that for each  $p \in \mathcal{P}$ , there is a copy  $\mathcal{C}_p$  of the ternary Golay code [Conway:1999, p. 85] occurring naturally as a subcode of  $\mathcal{C}$ . First, we set forth some useful properties of  $\mathcal{C}$  and  $\mathcal{C}'$ .

**Proposition 3.1.** Let  $c \in C$ . Then:

(1) 
$$\sum_{p \in \mathcal{P}} c_p^2 = \left(\sum_{p \in \mathcal{P}} c_p\right)^2.$$

- (2)  $\operatorname{wt}(c) \equiv 0 \text{ or } 1 \pmod{3}$ .
- (3)  $c \in \mathcal{C}'$  iff  $\operatorname{wt}(c) \equiv 0 \pmod{3}$ .
- (4) For each  $\ell \in \mathcal{L}$ ,

$$\sum_{p \in \mathcal{P}} c_p = \sum_{p \in \ell} c_p.$$

- (5)  $C' = C^{\perp}$ , the orthogonal complement of C with respect to the scalar product (3.1).
- (6) dim C = 7 and dim C' = 6.
- (7)  $\operatorname{wt_{min}}(\mathcal{C}) = 4$  and  $\operatorname{wt_{min}}(\mathcal{C}') = 6$ . Moreover, the weight-4 codewords in  $\mathcal{C}$  are precisely  $\{\pm h_{\ell} \mid \ell \in \mathcal{L}\}$ .

*Proof.* (1) It suffices to show that

$$\sum_{p \in \mathcal{P}} c_p d_p = \left(\sum_{p \in \mathcal{P}} c_p\right) \left(\sum_{p \in \mathcal{P}} d_p\right) \tag{3.2}$$

for all  $c, d \in \mathcal{C}$ . Since this identity is bilinear in c and d, we need only consider the case  $c = h_{\ell}$ ,  $d = h_m$ , when both sides evaluate to 1 (whether or not  $\ell = m$ ).

(2) Since the square of each nonzero element of  $\mathbb{F}_3$  is 1, we have

$$\operatorname{wt}(c) \equiv \sum_{p \in \mathcal{P}} c_p^2 \pmod{3}.$$

By part (1), the right-hand side is a square in  $\mathbb{F}_3$ , hence either 0 or 1.

- (3) This follows from the definition of C', together with the previous two parts.
- (4) It suffices to verify the desired identity for the generators  $h_{\ell}$ . Indeed, let  $c = h_m$ ; then both sides of the identity are equal to 1 whether  $\ell$  and m are the same or different.
- (5) If  $c \in \mathcal{C}'$ , then the right-hand side of (3.2) is zero for every  $d \in \mathcal{C}$ ; it follows that  $\mathcal{C} \subset (\mathcal{C}')^{\perp}$ . To prove the reverse inclusion, let  $w \in (\mathcal{C}')^{\perp}$ . If  $\operatorname{Supp}(w)$  intersects some line  $\ell$  in more than two points, then we can reduce  $\operatorname{wt}(w)$  by adding  $h_{\ell}$  or  $-h_{\ell}$  to w. Repeating this process, we eventually obtain a codeword  $w' \in C_0^{\perp}$  which is congruent to w modulo  $\mathcal{C}$  (since  $h_{\ell} \in \mathcal{C} \subset (\mathcal{C}')^{\perp}$ ) and such that  $\operatorname{Supp}(w')$  intersects no line in more than two points. By Proposition 2.2,  $\mathbb{P}_3$  contains no hyperovals, so  $\operatorname{wt}(w') \leq 4$ .

Suppose that  $\operatorname{wt}(w') \neq 0$ . Then there is a line  $\ell$  disjoint from  $\operatorname{Supp}(w')$  and another line m intersecting  $\operatorname{Supp}(w')$  in exactly one point. The vector  $h_{\ell} - h_m$  belongs to  $C_0$  but is not orthogonal to w, which is impossible since  $\mathcal{C} \subset (\mathcal{C}')^{\perp}$ . Hence  $\operatorname{wt}(w') = 0$ , w' = 0, and  $w \in \mathcal{C}$ .

- (6) By part (5),  $\dim \mathcal{C}' + \dim(\mathcal{C}')^{\perp} = 13 = 2 \dim \mathcal{C} 1$ . Hence  $\dim \mathcal{C}' = 6$  and  $\dim \mathcal{C} = 7$ .
- (7) Clearly  $\operatorname{wt}(h_{\ell}) = 4$  for every line  $\ell$ . Let  $c \in \mathcal{C}$  be a codeword of minimal nonzero weight. If  $\operatorname{Supp}(c)$  meets no line of  $\mathbb{P}_3$  in more than two points, then c = 0 by the argument of (5). In particular  $\operatorname{wt}(c) \neq 1$ . By part (2),  $\operatorname{wt}(c) \notin \{2,5\}$ . If  $\operatorname{wt}(c) \in \{3,4\}$ , then  $|\operatorname{Supp}(c) \cap \ell| \geq 3$  for some line  $\ell$ . But then the weight of c can be reduced by adding or subtracting  $h_{\ell}$ . Since c is of minimal weight, this is a contradiction unless  $c = \pm h_{\ell}$ . Hence  $\operatorname{wt}_{\min}(\mathcal{C}) = 4$ . By part (3), we have  $\operatorname{wt}_{\min}(\mathcal{C}') \geq 6$ . In fact,  $\operatorname{wt}_{\min}(\mathcal{C}') = 6$  because  $\operatorname{wt}(h_{\ell} h_m) = 6$  for  $\ell \neq m$ .

Note that  $|\mathcal{C}| = 3^7 = 2187$ , which is small enough that all the assertions of Proposition 3.1 could also be checked by an easily feasible but unenlightening computation.

For each  $p \in \mathcal{P}$ , define a subcode

$$\{c \in \mathcal{C} \mid c_p = -\sum_{q \in \mathcal{P}} c_q\},\$$

and let  $\mathcal{G}_p$  be the restriction of  $\mathcal{C}_p$  to the coordinates  $\mathcal{P} \setminus \{p\}$  (that is, the image of  $\mathcal{C}_p$  modulo the subspace spanned by  $x_p$ ).

**Proposition 3.2.**  $\mathcal{G}_p$  is isomorphic to the ternary Golay code  $\mathscr{C}_{12}$  for every  $p \in \mathcal{P}$ .

*Proof.*  $C_p \subsetneq C$  because  $h_{\ell} \notin C_p$  for all  $\ell$ . The kernel of the restriction map  $\phi : C_p \to \mathcal{G}_p$  can contain only vectors of weight  $\leq 1$ , but  $\operatorname{wt}_{\min}(C_p) = 4$ , so  $\ker \phi = 0$ . Thus  $\phi$  is a bijection and  $\dim \mathcal{G}_p = \dim C_p = 6$ .

For all  $c \in \mathcal{C}_p$ ,

$$\begin{aligned} \operatorname{wt}(\phi(c)) &\equiv & \sum_{q \neq p} c_q^2 \qquad (\text{mod } 3) \\ &= & -c_p^2 + \sum_{q \in \mathcal{P}} c_q^2 = & -c_p^2 + \left(\sum_{q \in \mathcal{P}} c_q\right)^2 \equiv 0 \pmod{3}; \end{aligned}$$

and for all  $c, d \in \mathcal{C}_p$ ,

$$\phi(c) \cdot \phi(d) = \sum_{q \neq p} c_q d_q = -c_p d_p + \sum_{q \in \mathcal{P}} c_q d_q$$
$$= -c_p d_p + \left(\sum_{q \in \mathcal{P}} c_q\right) \left(\sum_{q \in \mathcal{P}} d_q\right) = 0$$

by (3.2). Hence  $\mathcal{G}_p \subseteq \mathcal{G}_p^{\perp}$ , whence  $G_p$  is self-dual since it has dimension 6 = 12/2. Moreover,  $\operatorname{wt_{min}}(\mathcal{G}_p) \ge \operatorname{wt_{min}}(\mathcal{C}) = 4$  (which implies that  $\operatorname{wt_{min}}(\mathcal{G}_p) \ge 6$  because  $\mathcal{G}_p \subseteq \mathcal{G}_p^{\perp}$ ). Therefore  $\mathcal{G}_p \cong \mathscr{C}_{12}$  [Conway:1999, p. 436].

Suppose  $\ell = \{p, q, r, s\}$ . Let the move [p, q] of the signed  $\mathbb{P}_3$ -game act linearly on X by  $[p, q] \cdot w = w'$ , where

$$w'_{p} = w_{q},$$
  $w'_{r} = -w_{s},$   $w'_{t} = w_{t} \text{ for } t \notin \ell,$   $w'_{q} = -w_{p} - w_{q},$   $w'_{s} = -w_{r}.$  (3.3)

**Proposition 3.3.** For all  $p, q \in \mathcal{P}$ ,  $[p, q] \cdot \mathcal{C}_p = \mathcal{C}_q$ .

*Proof.* Let  $\ell = \{p, q, r, s\}$  as above. Since the linear transformation (3.3) is invertible, it suffices to prove the inclusion  $[p, q] \cdot \mathcal{C}_p \subset \mathcal{C}_q$ . Let  $c \in \mathcal{C}_p$  and  $d = [p, q] \cdot c$ . By part (4) of Proposition 3.1,

$$c_p = -\sum_{q \in \mathcal{P}} c_q = -\sum_{q \in \ell} c_q$$
$$= -c_p - c_q - c_r - c_s$$

which implies that  $c_p = c_q + c_r + c_s$ , since we are working over  $\mathbb{F}_3$ . Hence

$$\begin{array}{rcl} c-d & = & \sum_{p \in \ell} (c_p - d_p) x_p \\ \\ & = & (c_p - c_q) (x_p + x_q) + (c_r + c_s) (x_r + x_s) \\ \\ & = & (c_p - c_q) h_{\ell}. \end{array}$$

So  $c - d \in \mathcal{C}$  and  $d \in \mathcal{C}$ . Moreover,

$$\begin{split} \sum_{p \in \mathcal{P}} d_p &= \sum_{p \in \ell} d_p &= d_p + d_q + d_r + d_s \\ &= -c_p - c_s - c_r \\ &= c_p + c_q = -d_q. \end{split}$$

Therefore  $d \in \mathcal{C}_q$ .

A move sequence  $[p_0, \ldots, p_n]$  acts on X by the composition of the linear transformations (3.3) associated with the moves  $[p_i, p_{i+1}]$ . It follows from Proposition 3.3

that the linear transformation associated with  $[p_0, \ldots, p_n]$  restricts to an isomorphism of  $C_0$  with  $C_{p_n}$ . In particular, if  $p_0 = p_n = 0$ , then  $\sigma$  induces an automorphism of the code  $C_0$ . Accordingly,  $G_{\text{sgn}}$  is naturally isomorphic to a subgroup of  $\text{Aut}(\mathcal{G}_0)$ .

**Proposition 3.4.**  $G_{\text{bas}}$  is isomorphic to a subgroup of  $M_{12}$ .

*Proof.* The center Z of  $Aut(\mathcal{G}_0)$  has order two (it contains the identity map and its negative), and  $Aut(\mathcal{G}_0)/Z \cong M_{12}$  (see [Conway:1999, p. 85]). On the other hand, the permutation -1 corresponding to the closed path

$$[0, 10, 7, 0, 4, 1, 2, 4, 3, 5, 6, 3, 0] (3.4)$$

flips each of the 12 counters without changing its location. Clearly -1 is central and has order 2. Hence  $G_{\rm sgn}/\{1,-1\}$  is isomorphic to a subgroup of  $\operatorname{Aut}(\mathcal{G}_0)/Z \cong M_{12}$ . On the other hand,  $G_{\rm sgn}/\{1,-1\} = G_{\rm bas}$ , because taking the quotient by -1 is equivalent to ignoring flips.

We see now that a permutation  $\sigma$  in  $M_{12}$  (resp.  $M_{13}$ ) has two lifts  $\sigma_1, \sigma_2$  in  $2M_{12}$  (resp.  $2M_{13}$ ), both of which are equivalent to  $\sigma$  as unsigned permutations and such that  $\sigma_1^{-1} \circ \sigma_2 = -1$ .

To establish the reverse inclusion, we used a computer program (in C) to generate a list of all permutations arising from closed move sequences.<sup>1</sup> Rather than reproduce the entire list here, we use the presentation of  $M_{12}$  as a subgroup of the symmetric group  $\mathfrak{S}_{12}$  with generators given in cycle notation by

$$\alpha = (1 \ 6 \ 4 \ 2 \ 11 \ 3 \ 8 \ 9 \ 10 \ 7 \ 5),$$

$$\gamma = (1 \ 12)(2 \ 9)(3 \ 4)(5 \ 6)(7 \ 8)(10 \ 11),$$

$$\delta = (4 \ 5)(2 \ 11)(3 \ 7)(8 \ 9).$$
(3.5)

(see [Conway:1999, p. 273]; we have changed the labelling of the points to conform with (2.2)). Indeed, the move sequences

$$[0, 11, 7, 9, 8, 3, 0],$$
  $[0, 12, 1, 9, 0, 3, 8, 4, 0],$   $[0, 1, 7, 0, 3, 6, 0, 1, 7, 0]$ 

induce the permutations  $\alpha$ ,  $\gamma$  and  $\delta$  respectively. Combining this with Proposition 3.4 and the known identification of  $2M_{12}$  with  $Aut(\mathcal{G}_0)$  [Conway:1985], we have proved:

## Theorem 3.5.

- (1) The basic  $\mathbb{P}_3$ -game group  $G_{\text{bas}}$  is isomorphic to the Mathieu group  $M_{12}$ , acting sharply quintuply transitively on  $\mathcal{P} \setminus \{0\}$ .
- (2) The signed game group  $G_{\text{sgn}}$  is isomorphic with  $2M_{12}$ , with Z the 2-element normal subgroup and  $G_{\text{sgn}}/Z \cong M_{12}$ .

# 4. The dualized game

We can extend the  $\mathbb{P}_3$ -game in another way by placing a second set of counters on the lines of  $\mathbb{P}_3$ . This version of the game provides a second proof that the game group is  $M_{12}$ , realized as the group of automorphisms of a  $12 \times 12$  Hadamard matrix (that is, an orthogonal matrix all of whose entries are  $\pm 1$ ). In addition, interchanging the roles of points and lines gives a concrete interpretation of the outer automorphisms of  $M_{12}$ .

 $<sup>^1{\</sup>rm The}$  source code appears in [Martin:1996], and is now online at http://www.math.harvard.edu/~elkies/M13 .

We began the basic  $\mathbb{P}_3$ -game by placing 12 numbered counters on the points  $\mathcal{P}\setminus\{0\}$ . In the dualized game, we place in addition 12 numbered "line-counters" on the lines  $\mathcal{L}\setminus\{\overline{0}\}$ . The move sequences of the dualized game are defined similarly to those of the basic game, with the proviso that the point-hole must always lie on the line-hole. Specifically, suppose that the point-hole and line-hole are located at p and  $\ell$  respectively, with  $\mathcal{L}(p) = \{\ell, m, n, k\}$  and  $\ell = \{p, q, r, s\}$ . The point-move [p, q] is defined as in the basic game; dually, the line-move  $[\ell, m]$  consists of moving the line-counter on m to the hole at  $\ell$  and interchanging the line-counters on n and k. Thus a move sequence has the general form

$$([p_0, \dots, p_n], [\ell_0, \dots, \ell_n]) = [\ell_{n-1}, \ell_n] \circ [p_{n-1}, p_n] \circ \dots \circ [\ell_0, \ell_1] \circ [p_0, p_1]$$
 (4.1)

subject to the conditions  $p_i$ ,  $p_{i+1} \in \ell_i$  and  $\ell_i$ ,  $\ell_{i+1} \in \mathcal{L}(p_{i+1})$  for all i. Each move sequence induces a pair of permutations  $\sigma = (\sigma_{\mathcal{P}}, \sigma_{\mathcal{L}})$ , where  $\sigma_{\mathcal{P}}$  acts on the point-counters and  $\sigma_{\mathcal{L}}$  acts on the line-counters.

It is easy to verify that for every move sequence  $([p_0, \ldots, p_n], [\ell_0, \ldots, \ell_n])$  of the dualized game, the point-path  $[p_0, \ldots, p_n]$  is nondegenerate if and only if the line-path  $[\ell_0, \ldots, \ell_n]$  is. As before, every move sequence is equivalent to one in which both paths are nondegenerate.

A move sequence of the dualized game is called *closed* if it returns both the point-hole and the line-hole to their initial locations. The group of permutations induced by closed moves is called the *dualized*  $\mathbb{P}_3$ -game group, written  $G_{\text{dual}}$ . In fact, we shall show that  $G_{\text{dual}} \cong G_{\text{bas}}$ , and indeed that the point-permutation of an element of  $G_{\text{dual}}$  determines the line-permutation uniquely and vice versa.

**Example 4.1.** As in Examples 2.3 and 2.4), consider the path [0,6,12,1,8,0]. For this to be the point-path of a closed move sequence in the dualized game, the corresponding line-path can only be

$$[\overline{0}\ \overline{6},\ \overline{6}\ \overline{12},\ \overline{12}\ \overline{1},\ \overline{1}\ \overline{8},\ \overline{8}\ \overline{0}]\ =\ [\overline{0},\overline{1},\overline{12},\overline{6},\overline{4}]\ .$$

The moves of the dualized game may be interpreted as automorphisms of a  $12 \times 12$  Hadamard matrix H. An automorphism of H may be defined as a pair  $(\sigma, \tau)$  of signed permutation matrices such that  $\sigma H \tau = H$ . The group  $\operatorname{Aut}(H)$  of all automorphisms is isomorphic to  $2M_{12}$  [Conway:1985, p. 32]. In what follows, we construct an isomorphism of  $G_{\text{dual}}$  with  $\operatorname{Aut}(H)$ .

Define a modified incidence matrix  $E = (e_{ij})$  for  $\mathbb{P}_3$ , with rows i indexed by  $\mathcal{P}$  and columns j indexed by  $\mathcal{L}$ , by

$$e_{ij} = \begin{cases} -1 & i \in j, \\ +1 & i \notin j. \end{cases}$$

Labelling the points and lines of  $\mathbb{P}_3$  self-dually, as in (2.2), makes E into a symmetric matrix. Each row of E contains four -1's and nine +1's, and each pair of distinct rows agree in exactly seven columns, so the scalar product  $E_r \cdot E_s$  of two rows of E is

$$E_r \cdot E_s = \sum_{i} e_{rj} e_{sj} = \begin{cases} 13 & r = s, \\ 1 & r \neq s. \end{cases}$$
 (4.2)

Next, for all pairs  $p, \ell$  with  $p \in \ell$ , define a  $12 \times 12$  matrix  $H^{p,\ell}$ , with rows indexed by  $\mathcal{P} \setminus \{p\}$  and columns indexed by  $\mathcal{L} \setminus \{\ell\}$ , by

$$(H^{p,\ell})_{ij} = \begin{cases} -e_{ij} & i \in \ell \text{ and } j \in \mathcal{L}(p) \\ e_{ij} & \text{otherwise.} \end{cases}$$

$$(4.3)$$

**Proposition 4.2.** Let  $p \in \mathcal{P}$  and  $\ell \in \mathcal{L}(p)$ . Then  $H = H^{p,\ell}$  is a Hadamard matrix.

*Proof.* H can be made symmetric by choosing a self-dual labelling in which p and  $\ell$  have the same label. Thus, to prove the proposition, it is enough to show that for each pair of distinct points  $r, s \in \mathcal{P} \setminus \{p\}$ , the scalar product  $H_r \cdot H_s$  of the corresponding rows of H is zero.

If  $r \notin \ell$  and  $s \notin \ell$ , then  $e_{r\ell} = e_{s\ell} = 1$ , so

$$H_r \cdot H_s = \sum_{j \in \mathcal{L} \setminus \{\ell\}} e_{rj} e_{sj} = -e_{rl} e_{sl} + \sum_{j \in \mathcal{L}} e_{rj} e_{sj} = -1 + E_r \cdot E_s = 0.$$

If  $r \in \ell$  and  $s \in \ell$ , then  $e_{r\ell} = e_{s\ell} = -1$ , so

$$H_r \cdot H_s \ = \ \sum_{j \in \mathcal{L}(p) \backslash \{\ell\}} (-e_{rj}) (-e_{sj}) \ + \sum_{j \in \mathcal{L} \backslash \mathcal{L}(p)} e_{rj} e_{sj} \ = \ \sum_{j \in \mathcal{L} \backslash \{\ell\}} e_{rj} e_{sj} \ = \ 0$$

by the previous case. Finally, suppose that  $r \in \ell$  and  $s \notin \ell$ . Then  $e_{r\ell} = -1$  and  $e_{s\ell} = 1$ , so

$$H_r \cdot H_s = -\sum_{j \in \mathcal{L}(p) \setminus \{\ell\}} e_{rj} e_{sj} + \sum_{j \in \mathcal{L} \setminus \mathcal{L}(p)} e_{rj} e_{sj}.$$

Moreover,

$$1 = \sum_{j \in \mathcal{L}} e_{rj} e_{sj} = -1 + \sum_{j \in \mathcal{L} \setminus \mathcal{L}(p)} e_{rj} e_{sj} + \sum_{j \in \mathcal{L}(p) \setminus \{\ell\}} e_{rj} e_{sj}.$$

by (4.2). Combining these two observations, we obtain

$$H_r \cdot H_s = 2 \left( 1 - \sum_{j \in \mathcal{L}(p) \setminus \{\ell\}} e_{rj} e_{sj} \right). \tag{4.4}$$

Since  $\overline{pr} = \ell$ , the three  $e_{rj}$ 's in the right-hand side of (4.4) all equal +1. On the other hand,  $\overline{ps} \neq \ell$ , so  $\overline{ps}$  is one of the other lines in  $\mathcal{L}(p)$ . Thus one of the three  $e_{sj}$ 's is -1 and the other two are +1. Therefore the expression in (4.4) vanishes.  $\square$ 

We now associate a signed permutation matrix with each move sequence of the dualized game. For  $p \in \mathcal{P}$  and  $\ell = \{p, q, r, s\} \in \mathcal{L}(p)$ , let  $B = (b_{ij})$  be a  $12 \times 12$  matrix, with rows indexed by  $\mathcal{P} \setminus \{p\}$  and columns indexed by  $\mathcal{L} \setminus \{\ell\}$ . The point-move [p, q] acts on B, producing a matrix  $[p, q] \cdot B$  with rows indexed by  $\mathcal{P} \setminus \{q\}$  and columns indexed by  $\mathcal{L} \setminus \{\ell\}$ , whose (i, j) entry is

$$([p,q] \cdot B)_{ij} = \begin{cases} b_{qj} & i = p \\ -b_{rj} & i = s \\ -b_{sj} & i = r \\ b_{ij} & \text{otherwise.} \end{cases}$$

$$(4.5)$$

The line-move  $[\ell, m]$  acts on the columns of B in a similar way, producing a matrix with rows indexed by  $\mathcal{P} \setminus \{p\}$  and columns indexed by  $\mathcal{L} \setminus \{m\}$ . More generally, we may associate a signed permutation matrix with each move sequence of the dual

game by composing those corresponding to its constituent moves. Note that the actions of point- and line-moves commute.

**Proposition 4.3.** Let  $\sigma = (\sigma_{\mathcal{P}}, \sigma_{\mathcal{L}})$  be a move sequence of the dualized game, with the point-hole initially at  $p \in \mathcal{P}$  and the line-hole initially at  $\ell \in \mathcal{L}(p)$ . Let  $H^{p,\ell}$  be the Hadamard matrix defined in (4.3). Then  $\sigma(H^{p,\ell}) = H^{\sigma(p),\sigma(\ell)}$ .

*Proof.* It is sufficient to consider the case that  $\sigma$  is a single point-move. The proof for line-moves is identical, and the general case will then follow by composition. Suppose therefore that  $\ell = \{p, q, r, s\}$  and  $\sigma = [p, q]$ . The definition (4.3) may be rewritten as

$$(H^{p,\ell})_{ij} = \begin{cases} -e_{ij} & i \in \{q,r,s\} & \text{and} \quad j \in \mathcal{L}(p) \setminus \{\ell\} \\ +e_{ij} & i \in \mathcal{P} \setminus \ell & \text{and} \quad j \in \mathcal{L} \setminus \mathcal{L}(p), \end{cases}$$

so that

$$(\sigma(H^{p,\ell}))_{ij} = \begin{cases} (H^{p,\ell})_{qj} & i = p \\ -(H^{p,\ell})_{sj} & i = r \\ -(H^{p,\ell})_{rj} & i = s \\ (H^{p,\ell})_{ij} & \text{otherwise.} \end{cases}$$

and

$$(H^{q,\ell})_{ij} = \begin{cases} -e_{ij} & i \in \ell \text{ and } j \in \mathcal{L}(q) \\ +e_{ij} & \text{otherwise.} \end{cases}$$

We will show that  $(\sigma(H^{p,\ell}))_{ij} = (H^{q,\ell})_{ij}$  for all i,j. First, if  $i \notin \ell$ , then

$$(\sigma(H^{p,\ell}))_{ij} = (H^{p,\ell})_{ij} = e_{ij} = (H^{q,\ell})_{ij}.$$

Second, suppose that i = p. In this case

$$(\sigma(H^{p,\ell}))_{pj} = (H^{p,\ell})_{qj} = \begin{cases} -e_{qj} & j \in \mathcal{L} \setminus \mathcal{L}(p) \\ +e_{qj} & \text{otherwise} \end{cases}$$

$$= \begin{cases} -1 & j \in \mathcal{L}(p) \cup \mathcal{L}(q) \setminus \{\ell\} \\ +1 & \text{otherwise} \end{cases}$$

$$= \begin{cases} -e_{pj} & j \in \mathcal{L}(q) \setminus \{\ell\} \\ +e_{pj} & \text{otherwise} \end{cases}$$

$$= (H^{q,\ell})_{pj}.$$

Finally, suppose that i = r (the case i = s is analogous). Then

$$(\sigma(H^{p,\ell}))_{rj} = -(H^{p,\ell})_{sj} = \begin{cases} +e_{sj} & j \in \mathcal{L}(p) \setminus \{\ell\} \\ -e_{sj} & \text{otherwise} \end{cases}$$

$$= \begin{cases} +1 & j \in \mathcal{L}(p) \cup \mathcal{L}(s) \setminus \{\ell\} \\ -1 & j \in \mathcal{L}(q) \cup \mathcal{L}(r) \setminus \{\ell\} \end{cases}$$

$$= \begin{cases} -e_{rj} & j \in \mathcal{L}(q) \setminus \{\ell\} \\ +e_{rj} & \text{otherwise} \end{cases}$$

$$= (H^{q,\ell})_{rj}.$$

Corollary 4.4.  $G_{\text{dual}} \cong G_{\text{bas}} \cong M_{12}$ .

*Proof.* Proposition 4.3 implies that for each closed move  $\sigma$  of the dualized game, the pair of (unsigned) permutations  $(\sigma_{\mathcal{P}}, \sigma_{\mathcal{L}})$  is an automorphism of the Hadamard matrix  $H = H^{p,\ell}$ . That is, we have an injective group homomorphism from  $G_{\text{dual}}$  to  $\text{Aut}(H)/\{\pm 1\} \cong M_{12}$ . On the other hand, the permutations (3.5) generate a subgroup of  $G_{\text{dual}}$  that is isomorphic to  $M_{12}$ .

Denote by  $\operatorname{Aut}(M_{12})$  the group of automorphisms of  $M_{12}$ , and by  $\operatorname{Inn}(M_{12})$  the normal subgroup of inner automorphisms (that is, automorphisms given by conjugation). Then  $\operatorname{Inn}(M_{12}) \cong M_{12}$  since  $M_{12}$  is simple and nonabelian, and the quotient  $\operatorname{Aut}(M_{12})/\operatorname{Inn}(M_{12})$  has order two [Conway:1985, p. 31]. The dualized game allows us to describe an outer automorphism (and thus the full automorphism group) of  $M_{12}$  explicitly. Consider the map

$$\theta: G_{\text{dual}} \to G_{\text{dual}} 
(\sigma_P, \sigma_L) \mapsto (\sigma_L, \sigma_P).$$
(4.6)

**Proposition 4.5.** The map  $\theta$  is an outer automorphism of  $G_{\text{dual}} \cong M_{12}$ .

*Proof.* The map  $\theta$  respects concatenation of paths, so it is a group homomorphism  $G_{\text{dual}} \to G_{\text{dual}}$ . It is clearly surjective, hence an automorphism. It remains only to show that  $\theta$  is not conjugation by any element of  $G_{\text{dual}}$ .

Consider the point-paths  $\pi_1 = [0, 1, 4, 0]$ ,  $\pi_2 = [0, 2, 10, 0]$  and  $\pi_3 = [0, 3, 12, 0]$ , whose induced permutations are respectively

$$\alpha_1 = (1\ 4)(2\ 3)(5\ 6)(8\ 9), \quad \alpha_2 = (1\ 3)(2\ 10)(6\ 8)(9\ 11), \quad \alpha_3 = (1\ 2)(3\ 12)(6\ 9)(7\ 8).$$

By the labelling (2.2), for each i, the line-path corresponding to  $\pi_i$  is its reverse, so  $\theta(\alpha_i) = \alpha_i^{-1} = \alpha_i$  (because each  $\alpha_i$  is an involution). Therefore, if  $\theta$  is conjugation by some permutation  $\sigma$ , then  $\sigma$  must commute with each  $\alpha_i$ . A Maple computation (which is not hard to check by hand) reveals that the intersection of the  $\mathfrak{S}_{12}$ -centralizers of the  $\alpha_i$ 's contains exactly one non-identity element, namely

$$\sigma = (1 \ 6)(2 \ 9)(3 \ 8)(4 \ 5)(7 \ 12)(10 \ 11).$$

(In fact, this permutation commutes with every fixed point of the automorphism  $\theta$ .) Now, consider the point-path [0, 1, 5, 0], whose associated line-path is [0, 6, 1, 0]. The induced point- and line-permutations are respectively (1 5)(2 3)(4 6)(10 12) and (1 6)(2 3)(4 5)(7 11). Then  $\theta$  interchanges these two permutations; however, they are not conjugates under  $\sigma$ . It follows that  $\theta$  is not conjugation by any element of  $\mathfrak{S}_{12}$ , so a fortiori not by any element of  $M_{12}$ ; that is,  $\theta$  is an outer automorphism.

Corollary 4.6.  $\langle G_{\text{dual}}, \theta \rangle = \text{Aut}(G_{\text{dual}}) = \text{Aut}(M_{12}).$ 

# 5. $M_{13}$ and sextuple transitivity

5.1. Multiply transitive groups. We recall some basic terminology. Let G be a (finite) group acting on a (finite) set X; that is, there is a group homomorphism from G to  $\mathfrak{S}_X$ , the group of permutations of X. The action is called *faithful* if this homomorphism is one-to-one and *transitive* if the action has a single orbit. More generally, the action is k-transitive if  $|X| \geq k$  and for any two k-tuples of distinct elements of X, say  $\mathbf{p} = (p_1, \ldots, p_k)$  and  $\mathbf{q} = (q_1, \ldots, q_k)$ , there exists  $g \in G$  such that  $g \cdot p_i = q_i$  for all i. If the element g is unique, then the action is sharply

*k-transitive*. Note that a group G with a faithful, sharply k-transitive action on an r-set must have cardinality r!/(r-k)!.

Groups with highly transitive actions are quite unusual: by the classification of finite simple groups, the only groups with a sharply quintuply transitive action are  $M_{12}$ ,  $\mathfrak{S}_5$ ,  $\mathfrak{S}_6$  and  $\mathfrak{A}_7$ , and there are no sextuply transitive groups other than  $\mathfrak{S}_7$  and  $\mathfrak{A}_8$ . In particular—and this does not require the Classification Theorem—it is not possible to continue Mathieu's construction of  $M_{11}$  and  $M_{12}$  to a transitive subgroup of  $\mathfrak{S}_{13}$  other than  $\mathfrak{A}_{13}$  and  $\mathfrak{S}_{13}$  itself. Yet we have obtained the pseudogroup  $M_{13}$  by a method similar to this construction.  $M_{12}$ , realized as the game group  $G_{\text{bas}}$ , acts faithfully and sharply 5-transitively on the 12-element set  $\mathcal{P} \setminus \{0\}$ . Meanwhile,  $M_{13}$  "acts" on the 13-element set  $\mathcal{P}$ , and  $|M_{13}| = 13|M_{12}|$ , just what the order of a sharply sextuply transitive group ought to be.

We are thus led to consider the question: Is the "action" of  $M_{13}$  on  $\mathcal{P}$  sextuply transitive? That is, given two sextuples  $\mathbf{p}=(p_1,\ldots,p_6)$  and  $\mathbf{q}=(q_1,\ldots,q_6)$  of points of  $\mathbb{P}_3$ , does there exist some  $\sigma\in M_{13}$  such that  $\sigma(p_i)=q_i$  for all i? (Here and from now on, "sextuple" means "ordered sextuple of distinct elements". In addition, we wish to include the possibility that  $0\in\mathbf{p}$ , so "counter" really means "counter or hole".) Since  $M_{13}$  is not a group, there are actually two distinct questions:

- (1) Fix a sextuple **p** of counters. Is it true that for all sextuples **q** of points of  $\mathbb{P}_3$ , there exists some  $\sigma \in M_{13}$  such that  $\sigma(\mathbf{p}) = \mathbf{q}$ ? If so, we call **p** a universal donor.
- (2) Fix a sextuple  $\mathbf{q}$  of points of  $\mathbb{P}_3$ . Is it true that for all sextuples  $\mathbf{p}$  of counters, there exists some  $\sigma \in M_{13}$  such that  $\sigma(\mathbf{p}) = \mathbf{q}$ ? If so, we call  $\mathbf{p}$  a universal recipient.

We will examine the questions separately. In each case, our computational data was invaluable as a source of educated guesses about sextuple transitivity. We start by making an elementary observation which will be quite useful in both cases.

**Lemma 5.1.** Let **p** be a sextuple of counters. Then **p** is a universal donor if and only if, for all  $\sigma, \tau \in M_{13}$  with  $\sigma \neq \tau$ , we have  $\sigma(\mathbf{p}) \neq \tau(\mathbf{p})$ . Similarly, if **q** is a sextuple of points, it is a universal recipient if and only if  $\sigma \neq \tau$  implies  $\sigma^{-1}(\mathbf{q}) \neq \sigma^{-1}(\mathbf{q})$ .

*Proof.* This follows from the pigeonhole principle, together with the observation that  $|M_{13}|$  equals 13!/7!, the number of sextuples of points in  $\mathbb{P}_3$ .

5.2. **Sextuple transitivity on counters.** We consider the question of when a sextuple **p** of counters is a universal donor. Note that the property is invariant under permuting the order of the  $p_i$ . Thus, for ease of notation, we frequently treat **p** as a set: for instance, we write  $\mathbf{p} \cap \ell$  rather than  $\{p_i \mid 1 \leq i \leq 6\} \cap \ell$ .

**Theorem 5.2.** A sextuple of counters  $\mathbf{p} = (p_1, \dots, p_6)$  is a universal donor if and only if  $p_i = 0$  for some i.

*Proof.* Suppose first that  $p_i = 0$  for some i. Let  $\mathbf{q} = (q_1, \ldots, q_6)$  be an arbitrary sextuple of points. Note that the move  $[0, q_i]$  takes the hole from  $p_i$  to  $q_i$ . The  $q_i$ -conjugate of  $G_{\text{bas}}$  acts quintuply transitively on  $\mathcal{P} \setminus \{q_i\}$ , hence contains a permutation  $\sigma$  such that

$$\left(\sigma \circ [0, q_i]\right)(p_j) = q_j$$

for all  $j \neq i$ . That is,  $\sigma \circ [0, q_i]$  is the desired element of  $M_{13}$  taking **p** to **q**.

Now suppose that  $0 \notin \mathbf{p}$ . The set  $\mathcal{P} - \mathbf{p} - \{0\}$  has cardinality six. Since  $\mathbb{P}_3$  has no hyperhyperovals (as discussed in Section 2.1), there is some line  $\ell$  that meets  $\mathcal{P} - \mathbf{p} - \{0\}$  in at least three points; that is, the set  $A = (\mathbf{p} \cup \{0\}) \cap \ell$  has at most one element. We consider three cases; in each case, we will exhibit two moves  $\sigma, \tau \in M_{13}$  that act equally on the counters of  $\mathbf{p}$ ; by Lemma 5.1, such a pair will suffice to show that  $\mathbf{p}$  is not a universal donor.

Case 1:  $A = \{0\}$ . Then  $\ell \cap \mathbf{p} = \emptyset$ , so [0, q] fixes each counter in  $\mathbf{p}$  for any point  $q \in \ell$  other than 0. Thus we may take  $\sigma = \mathbf{1}$  and  $\tau = [0, q]$ .

Case 2:  $A = \{p_i\}$  for some i. Let q be any point on  $\ell$  other than  $p_i$ . Playing the move  $[0, p_i]$  results in a position in which  $\ell$  contains no counters of  $\mathbf{p}$ ; therefore, we may take  $\sigma = [0, p_i]$  and  $\tau = [0, p_i, q]$ .

Case 3:  $A = \emptyset$ . Let q, r be distinct points on  $\ell$ . Similarly to Case 2, we may take  $\sigma = [0, q]$  and  $\tau = [0, q, r]$ .

5.3. Sextuple transitivity on points. We now consider the question of when a sextuple  $\mathbf{q}$  of points is a universal recipient. As before, we shall make no notational distinction between the ordered sextuple  $\mathbf{q}$  and its underlying set.

**Theorem 5.3.** A sextuple of points  $\mathbf{q} = (q_1, \dots, q_6)$  is a universal recipient if and only if it contains some line of  $\mathbb{P}_3$ .

*Proof.* Suppose that  $\mathbf{q}$  contains a line  $\ell$ . Let  $\mathbf{p}$  be a sextuple of counters; our goal is to find  $\sigma \in M_{13}$  taking  $\mathbf{p}$  to  $\mathbf{q}$ . If  $0 \in \mathbf{p}$ , then  $\mathbf{p}$  is a universal donor by Theorem 5.2 so we are done. Suppose now that  $0 \notin \mathbf{p}$ . Without loss of generality we may suppose that  $\ell = \{q_1, q_2, q_3, q_4\}$ , and that the line  $m = \overline{q_5q_6}$  meets  $\ell$  at  $q_1$ . Let x be the fourth point on this line.

By quintuple transitivity, the  $q_1$ -conjugate of  $G_{\text{bas}}$  contains a move  $\tau$  such that  $\tau(p_i) = q_i$  for  $2 \le i \le 6$ . Consider the move  $v = \tau \circ [0, q_1]$ ; note that  $v(p_1) \notin \mathbf{q}$ . If  $v(p_1) \ne x$ , then the move  $[q_1, v(p_1)] \circ v$  moves the counter  $p_1$  to the point  $q_1$  but does not move any other counter in  $\mathbf{p}$ . Hence the desired permutation  $\sigma \in M_{13}$  taking  $\mathbf{p}$  to  $\mathbf{q}$  is

$$\sigma = [q_1, \upsilon(p_1)] \circ \tau \circ [0, q_1].$$

On the other hand, suppose  $v(p_1) = x$ . The move sequence  $[q_1, q_2, x, q_3, q_4, x]$  induces the permutation

$$\rho = (r_2 \ s_2)(r_3 \ s_3)(r_4 \ s_4)(q_1 \ x),$$

where  $\overline{xq_i} = \{x, q_i, r_i, s_i\}$  for i = 2, 3, 4. Hence the move

$$\sigma = \rho \circ \upsilon \in M_{13}$$

takes  $p_i$  to  $q_i$  for all i as desired.

For the "only if" direction of the theorem, suppose that  $\mathbf{q}$  does not contain any line. We will show that there are two distinct elements of  $M_{13}$  which carry the same ordered sextuple of counters to the points  $q_i$ . It will follow by Lemma 5.1 that  $\mathbf{q}$  is not a universal recipient.

If  $\ell \cap \mathbf{q} = \emptyset$  for some line  $\ell$ , then our task is easy. Let  $p_1, p_2 \in \ell$ . Then  $[0, p_2]$  and  $[p_1, p_2] \circ [0, p_1]$  are elements of  $M_{13}$  carrying the same set of counters to  $\mathbf{q}$ . By Lemma 5.1,  $\mathbf{q}$  is not a universal recipient.

The more difficult case is when  $\mathbf{q}$  meets every line, but does not contain any line. Since  $\mathbb{P}_3$  has no hyperhyperovals, we may assume that  $q_1, q_2, q_5$  lie on a common line  $\ell$  (the reason for this apparently strange choice will be clear momentarily). Let

y be the fourth point on  $\ell$ . Then  $y \notin \mathbf{q}$ , and for  $\mathbf{q}$  to meet every line, each of the points  $q_3, q_4, q_6$  must lie on a different line in  $\mathcal{L}(y) \setminus \{\ell\}$ . Thus each of the lines  $\overline{q_3q_6}$ ,  $\overline{q_4q_6}$ ,  $\overline{q_3q_4}$  meets  $\ell$  in a point other than y. Without loss of generality we may assume that

$$q_1 \in \overline{q_3q_6}, \qquad q_2 \in \overline{q_4q_6}, \qquad q_5 \in \overline{q_3q_4}.$$

In particular, the points  $q_1, q_2, q_3, q_4$  form an oval. Thus we may adopt the labelling (2.1), with

$$q_5 = \overline{q_1}\overline{q_2} \cap \overline{q_3}\overline{q_4} = r_1, \qquad q_6 = \overline{q_1}\overline{q_3} \cap \overline{q_2}\overline{q_4} = r_2, \qquad y = s_{12}.$$

If  $s_{12}=0$ , then the paths  $[s_{12},r_2,s_{23}]$  and  $[s_{12},r_3,r_1,s_{14}]$  induce the permutations

$$\sigma = (s_{12} \ s_{23} \ r_2)(r_3 \ s_{34})(r_1 \ s_{14}), 
\tau = (s_{12} \ s_{14} \ r_1 \ r_3)(r_2 \ s_{34} \ s_{23})(s_{13} \ s_{24})$$

respectively. Both of these elements of  $M_{13}$  fix  $q_1, q_2, q_3, q_4$ , and move the counters originally located at  $s_{14}, s_{23}$  to  $r_1 = q_5$  and  $r_2 = q_6$  respectively. Therefore, by Lemma 5.1,  $\mathbf{q}$  is not a universal recipient. On the other hand, if  $s_{12} \neq 0$ , then we need only preface the moves  $\sigma, \tau$  given above by moving the hole to  $s_{12}$ . That is, the moves  $[0, s_{12}, r_2, s_{23}]$  and  $[0, s_{12}, r_3, r_1, s_{14}]$  move the same ordered sextuple of counters to the points  $\mathbf{q}$ .

#### 6. Metric properties

6.1. The basic game. Let G be a group generated by a finite set X. The Cayley graph of G with respect to X is the graph whose vertices are the elements of G, with g, g' connected by an edge if g = xg' for some  $x \in X$ . We define the Cayley graph  $\Gamma$  of  $M_{13}$  analogously: the vertices are the 13!/7! positions of the basic game, and two positions are connected by an edge if one may be obtained from the other by a single move [p, q].

We may use the Cayley graph to define a metric on  $M_{13}$ , as follows:  $d(\sigma,\tau)$  is the length of the shortest path in  $\Gamma$  with endpoints  $\sigma$  and  $\tau$ , that is, the minimal number of moves needed to go from  $\sigma$  to  $\tau$ . Note that no two elements of  $M_{12}$  are adjacent in  $\Gamma$ . Indeed,  $d(\sigma,\tau) \geq 3$  for  $\sigma \neq \tau \in M_{12}$ , because a two-move path returning the hole to the starting position must be of the form  $[p,q] \circ [q,p] = 1$ . Also, a path from  $\sigma$  to  $\tau$  with length exactly  $d(\sigma,\tau)$  must be nondegenerate. The depth of  $\sigma$  is defined as  $d(\sigma) = d(\sigma, 1)$ . We also define

$$[M_{12}]_k = \# \{ \sigma \in M_{12} \mid d(\sigma) = k \}, [M_{13}]_k = \# \{ \sigma \in M_{13} \mid d(\sigma) = k \}.$$

$$(6.1)$$

We can find these numbers from the computer-generated table of move sequences.

**Proposition 6.1.** The depth distributions for  $M_{12}$  and  $M_{13}$  are given by the following table:

We can explain some of the "shallower" numbers without resorting to computation. The unique element at depth 0 is obviously the identity. There are no moves

in  $M_{12}$  at depths 1 or 2 because there are no nondegenerate closed paths having those lengths.

Let [0, p, q, 0] be a nondegenerate closed path of length 3. For nondegeneracy, we must have  $p \neq 0$  and  $q \notin \overline{0p}$ , so there are  $12 \cdot 9 = 108$  such paths. The permutation induced by each path has cycle-shape  $2^4$  (that is, it is a quadruple transposition). This permutation has order 2, so the path [0, q, p, 0] is equivalent. This is the reason that  $[M_{12}]_3 = 108/2 = 54$ .

Let [0, p, q, r, 0] be a nondegenerate closed path of length 4. For nondegeneracy, we must have  $p \neq 0$ ,  $q \notin \overline{0p}$ , and  $r \notin \overline{pq} \cup \overline{q0}$ , so there are  $12 \cdot 9 \cdot 6 = 648$  such paths. If  $\{0, p, r\}$  are collinear, then the cycle-shape of the induced permutation is  $2^4$ ; otherwise it is  $3^3$ . In the first case, the path [0, r, q, p, 0] is equivalent. There are 216 paths with  $\{0, p, r\}$  collinear, so 108 of them are redundant. Since  $648 - 108 = 540 = [M_{12}]_4$ , there are no other equivalences among paths of this length.

The computer data may also be used to tabulate the nondegenerate closed paths of length k inducing the identity permutation. There are no such paths of length k < 6, and the paths of length k = 6, 7, 8 are unique up to automorphisms of  $\mathbb{P}_3$ . For k = 6, all such paths have the form

where 0, p, q are noncollinear. For k = 7, all paths have the form

where 0, p, q are collinear and r does not lie on their common line. For k = 8, all paths have the form

where  $\{0, p, q, r\}$  is an oval. In particular, the number of length-8 paths inducing the identity is the number of ordered ovals beginning with 0, which is  $12 \cdot 9 \cdot 4 = 432$ . Note that by Proposition 2.2, this is the cardinality of the stabilizer of a point in  $\operatorname{Aut}(\mathbb{P}_3) = \operatorname{PGL}_2(\mathbb{F}_3)$ .

A striking feature of the depth distribution is that there are only eight permutations at maximal depth. These permutations are

$$\begin{array}{lll} (1\ 3\ 2)(4\ 6\ 5)(7\ 8\ 12), & (1\ 3\ 2)(4\ 5\ 6)(9\ 11\ 10), \\ (1\ 2\ 3)(7\ 8\ 12)(9\ 11\ 10), & (4\ 5\ 6)(7\ 8\ 12)(9\ 10\ 11), \end{array} \tag{6.2}$$

and their inverses. They may be produced respectively by the paths

$$[0,12,1,0,9,6,11,10,5,0], [0,1,10,0,6,12,7,4,8,0], [0,12,1,0,9,5,6,11,4,0], [0,12,10,0,3,4,2,1,5,0],$$

and their reverses. Together with the identity, these eight permutations form an elementary abelian group T. Notice that the orbits of the action of T on  $\mathcal{P}\setminus\{0\}$  are the sets  $\ell\setminus\{0\}$  for  $\ell\in\mathcal{L}(0)$ . For any two distinct elements  $\sigma,\tau\in T$ , there is exactly one line  $\ell\in\mathcal{L}(0)$  such that  $\sigma(p)=\tau(p)$  for all  $p\in\ell$ . Thus T is the tetracode [Conway:1999, p. 81]. The elements of T are at maximal distance not only from the identity but from each other (because T is a group).

Since  $d(\sigma) \leq 9$  for all  $\sigma \in M_{13}$ , there is a much better algorithm than brute-force search for "solving" the basic game—that is, finding a short path producing a given permutation  $\sigma \in M_{13}$ . It suffices to consider the case  $\sigma \in M_{12}$ , since we can always start by moving the hole to 0.

- (1) Check if  $\sigma \in N$ , using the table (6.2). If so, we are done. If not, then  $d(\sigma) \leq 8$ .
- (2) Create a list  $L_1$  of all elements of  $M_{13}$  of depth  $\leq 4$ , together with paths realizing them. (An upper bound for the size of this list is  $12 \cdot 9^3 = 8748$ , the number of paths  $[0, p_1, \ldots, p_4]$  with no three consecutive points collinear.)
- (3) Create a list  $L_2 = \{ \sigma^{-1} \circ \tau \mid \tau \in L_1 \}$  of all elements of  $M_{13}$  at distance  $\leq 4$  from  $\sigma$ .
- (4) Since  $d(\sigma) \leq 8$ , we must have  $L_1 \cap L_2 \neq 0$ , i.e., there are permutations  $\tau$  and  $\tau'$  such that  $\tau = \sigma^{-1}\tau'$ . Thus  $\sigma = \tau'\tau^{-1}$ , and we can construct a path realizing  $\sigma$  by concatenating those for  $\tau'$  and  $\tau^{-1}$ .
- 6.2. The signed game. We now study the Cayley graph  $\Gamma^+$  of the signed game, whose vertices are the 2(13!/7!) positions of the signed game and whose edges are given by signed moves. As before, we can define distance, depth, and numbers  $[2M_{12}]_k$  and  $[2M_{13}]_k$ .

Let  $\sigma \in M_{13}$ , and let  $\sigma_1, \sigma_2$  be the two lifts of  $\sigma$  in  $2M_{13}$ . Then it is easy to see that

$$d(\sigma) = \min(d(\sigma_1), d(\sigma_2)). \tag{6.3}$$

**Proposition 6.2.** The depth distributions for  $2M_{12}$  and  $2M_{13}$  are given by the following table:

The unique element at maximal depth is -1, the permutation that flips every counter in place (see Proposition 3.4). The subgroup  $\{1, -1\}$  of  $2M_{12}$  is central, so every  $\sigma \in 2M_{13}$  has a unique "antipode"  $-\sigma = -1 \cdot \sigma$ , which moves the counters to the same locations as  $\sigma$  but reverses all orientations, and is uniquely maximally distant from  $\sigma$ . Thus  $\Gamma^+$  may be visualized as a "globe" in which pairs of poles represent antipodal permutations.

The depth distributions for  $2M_{12}$  and  $2M_{13}$  are the same as those for  $M_{12}$  and  $M_{13}$  for all depths  $\leq 5$ . Indeed, let k be the smallest number such that  $[2M_{13}]_k > [M_{13}]_k$ . By (6.3) and the pigeonhole principle, there must be two elements  $\sigma_1, \sigma_2 \in 2M_{13}$  at depth  $\leq k$  which are lifts of the same  $\sigma \in M_{13}$ . Then  $\sigma_1^{-1}\sigma_2$  is a path of length  $\leq 2k$  which induces the permutation -1 in some conjugate of  $2M_{12}$ , which implies that  $d(-1) \leq 2k$ . We must therefore have  $k \geq 6$ .

We also note that the depth distributions of  $2M_{12}$  and  $2M_{13}$  are "symmetric near the poles": there are the same numbers of permutations at depths 0, 1, 2 as at depths 12, 11, 10 respectively. However, the symmetry breaks down further from the poles: fewer elements of  $2M_{13}$  lie at depths 3, 4, 5 than at depths 9, 8, 7 respectively. We may partially explain this phenomenon by noting that

$$d(\sigma) + d(-\sigma) \ge 12,\tag{6.4}$$

for all  $\sigma \in 2M_{13}$ , for otherwise  $-1 = -\sigma \circ \sigma^{-1}$  could be obtained by a path of length strictly less than 12. Moreover, equality holds in (6.4) if and only if some

minimal path from  $\sigma$  to  $-\sigma$  has **1** as an intermediate position, which is not always the case. Thus the mean depth of a permutation is greater than 6.

Once again, these facts are based on the computational observation that -1 is the unique element at depth 12. This observation is also of use in explaining the symmetry of the depth distribution near the poles.

**Proposition 6.3.** Let  $\sigma \in 2M_{13}$ , with  $d(\sigma) \in \{1, 2\}$ . Then  $d(-\sigma) = 12 - d(\sigma)$ .

*Proof.* Suppose that  $d(\sigma) = 1$ ; then  $\sigma$  is realized by a move sequence [0, p], with  $p \neq 0$ . Recall from (3.4) that  $-\mathbf{1}$  is realized by a length-12 move sequence  $[0, \ldots, 5, 6, 3, 0]$ . Since  $\operatorname{Aut}(\mathbb{P}_3)$  acts doubly transitively on  $\mathcal{P}$ , we may choose  $\alpha \in \operatorname{Aut}(\mathbb{P}_3)$  such that  $\alpha(0) = 0$  and  $\alpha(3) = p$ . Applying  $\alpha$  to the move sequence realizing  $-\mathbf{1}$ , we obtain

$$[0, \ldots, \alpha(5), \alpha(6), p, 0]$$
 (6.5)

which induces the signed permutation  $\alpha \circ -1 \circ \alpha^{-1} = -1$ . Therefore, the path

$$[0, \ldots, \alpha(5), \alpha(6), \alpha(3) = p, 0, p]$$

induces the permutation  $-\sigma$ . Deleting the last two moves, we obtain an equivalent path of length 11. So  $d(\sigma) \leq 11$ . The opposite inequality follows from (6.4).

Similarly, if  $d(\sigma) = 2$ , then  $\sigma$  is realized by a move sequence [0, p, q], with 0, p, q noncollinear. By Proposition 2.2 (2),  $\operatorname{Aut}(\mathbb{P}_3)$  acts transitively on noncollinear triples of points, so we may choose  $\alpha \in \operatorname{Aut}(\mathbb{P}_3)$  such that  $\alpha(0) = 0$ ,  $\alpha(3) = p$ , and  $\alpha(6) = q$ . By the same argument as before,  $-\sigma$  is realized by the move sequence

$$[0, \ldots, \alpha(5), \alpha(6) = q],$$

which has length 10.

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