# Asymptotics of Solutions for fully Nonlinear Elliptic Problems at Nearly Critical Growth

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**Abstract.** In this paper we deal with the study of limits of solutions of a class of fully nonlinear elliptic problems at nearly critical growth. By means of P.L. Lions' concentration-compactness principle, we prove an alternative result for the existence of non-trivial solutions of the limit problem.

**Keywords:** Concentration-compactness principle, critical exponent, best Sobolev constant, fully nonlinear elliptic problems

AMS subject classification: 35J65, 35B40

# 1. Introduction

Let  $\Omega$  be a bounded domain of  $\mathbb{R}^n$ ,  $1 and <math>p^* = \frac{np}{n-p}$ . In 1989 Guedda and Veron [10] proved that the *p*-Laplacian problem at critical growth

$$\begin{array}{ccc} -\Delta_p u = u^{p^* - 1} & \text{in } \Omega \\ u > 0 & \text{in } \Omega \\ u = 0 & \text{on } \partial\Omega \end{array} \right\}$$
 (\*)

has no non-trivial solution  $u \in W_0^{1,p}(\Omega)$  if the domain  $\Omega$  is star-shaped. As known, this non-existence result is due to the failure of compactness for the critical Sobolev embedding  $W_0^{1,p}(\Omega) \hookrightarrow L^{p^*}(\Omega)$ , which causes a loss of global Palais-Smale condition for the functional associated with problem (\*). On the other hand, if for instance one considers annular domains

$$\Omega_{r_1, r_2} = \left\{ x \in \mathbb{R}^n : 0 < r_1 < |x| < r_2 \right\},\$$

then the radial embedding

$$W^{1,p}_{0,rad}(\Omega_{r_1,r_2}) \hookrightarrow L^q(\Omega_{r_1,r_2})$$

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is compact for each  $q < +\infty$  and one can find a non-trivial radial solution of problem (\*) (see [11]). In particular, the existence of non-trivial solutions of problem (\*) depends also on the topology of the domain. In the case p = 2, the problem

$$\begin{aligned} -\Delta u &= u^{(n+2)/(n-2)} & \text{in } \Omega \\ u &> 0 & \text{in } \Omega \\ u &= 0 & \text{on } \partial \Omega \end{aligned}$$
 (\*\*)

has been deeply studied and existence results have been obtained provided that  $\Omega$  satisfies suitable assumptions. In the striking paper [3], Bahri and Coron have proved that if  $\Omega$  has a non-trivial topology, i.e. if  $\Omega$  has a non-trivial homology in some positive dimension, then problem (\*\*) always admits a non-trivial solution.

On the other hand, Dancer [8] constructed for each  $n \geq 3$  a contractible domain  $\Omega_n$ , homeomorphic to a ball, for which problem (\*\*) has a non-trivial solution. Therefore, we see how the existence of non-trivial solutions of problem (\*\*) is related to the shape of the domain and not just to the topology. See also [15] and references therein for more recent existence and multiplicity results.

We remark that, to the authors' knowledge, this kind of achievements are not known when  $p \neq 2$ . In our opinion, one of the main difficulties is the fact that, differently from the case p = 2, it is not proven that all positive smooth solutions of the equation  $-\Delta_p u = u^{p^*-1}$  in  $\mathbb{R}^n$  are Talenti's radial functions, which attain the best Sobolev constant (see Proposition 3.1).

Now, there is a second approach in the study of problem (\*), which in general does not require any geometrical or topological assumption on  $\Omega$ , namely to investigate the asymptotic behaviour of solutions  $u_{\varepsilon}$  of problems with nearly critical growth

$$\begin{aligned} -\Delta_p u &= |u|^{p^* - 2 - \varepsilon} u & \text{ in } \Omega \\ u &= 0 & \text{ on } \partial \Omega \end{aligned}$$
 (\*\*\*)

as  $\varepsilon \to 0$ . If  $\Omega$  is a ball and p = 2, Atkinson and Peletier [2] showed in 1987 the blowup of a sequence of radial solutions. The extension to the case  $p \neq 2$  was achieved by Knaap and Peletier [12] in 1989. On a general bounded domain, instead, the study of limits of solutions of problem (\* \* \*) was performed by Garcia Azorero and Peral Alonso [9] around 1992.

Let now  $\varepsilon>0$  and consider the general class of Euler-Lagrange equations with nearly critical growth

$$-\operatorname{div}\left(\nabla_{\xi}\mathcal{L}(x,u,\nabla u)\right) + D_{s}\mathcal{L}(x,u,\nabla u) = |u|^{p^{*}-2-\varepsilon}u \quad \text{in } \Omega$$
$$u = 0 \quad \text{on } \partial\Omega$$
$$\left. \left(\mathcal{P}_{\varepsilon}\right) \right.$$

associated with the functional  $f_{\varepsilon}: W_0^{1,p}(\Omega) \to \mathbb{R}$  given by

$$f_{\varepsilon}(u) = \int_{\Omega} \mathcal{L}(x, u, \nabla u) \, dx - \frac{1}{p^* - \varepsilon} \int_{\Omega} |u|^{p^* - \varepsilon} dx.$$
(1)

As noted in [18], in general these functionals are not even locally Lipschitz under natural growth assumptions. Nevertheless, via techniques of non-smooth critical point theory (see [18] and references therein) it can be shown that for each  $\varepsilon > 0$ problem ( $\mathcal{P}_{\varepsilon}$ ) admits a non-trivial solution  $u_{\varepsilon} \in W_0^{1,p}(\Omega)$ .

Let  $u_{\varepsilon}$  be a solution of problem  $(\mathcal{P}_{\varepsilon})$ . The main goal of this paper is to prove that if the weak limit of  $(|\nabla u_{\varepsilon}|^p)_{\varepsilon>0}$  has no blow-up points in  $\Omega$ , then the limit problem

$$-\operatorname{div}\left(\nabla_{\xi}\mathcal{L}(x,u,\nabla u)\right) + D_{s}\mathcal{L}(x,u,\nabla u) = |u|^{p^{*}-2}u \quad \text{in } \Omega \\ u = 0 \quad \text{on } \partial\Omega \right\}$$
(\$\mathcal{P}\_{0}\$)

has a non-trivial solution (the weak limit of  $(u_{\varepsilon})_{\varepsilon>0}$ ), provided that  $f_{\varepsilon}(u_{\varepsilon}) \to c$  with

$$\frac{p^* - p - \gamma}{pp^*} (\nu S)^{\frac{n}{p}} < c < 2 \, \frac{p^* - p - \gamma}{pp^*} (\nu S)^{\frac{n}{p}} \tag{2}$$

where  $\nu > 0$  and  $\gamma \in (0, p^* - p)$  will be introduced later on. In our framework, (2) plays the role of a generalized second critical energy range (if  $\gamma = 0$  and  $\nu = 1$ , one finds the usual range  $\frac{S^{n/p}}{n} < c < 2\frac{S^{n/p}}{n}$  for problem (\* \* \*)).

The plan of the paper is as follows:

In Section 2 we shall state our main results. Section 3 contains some preliminary lemmas, namely the lower bounds of the non-vanishing Dirac masses and of the nontrivial weak limits. In Section 4 we prove our main results. In Section 5 we see that at the mountain pass levels the sequence  $(u_{\varepsilon})_{\varepsilon>0}$  blows up. Finally, Section 6 contains a non-existence result.

#### 2. The main results

Let  $\Omega$  be any bounded domain of  $\mathbb{R}^n$ . In the following, the space  $W_0^{1,p}(\Omega)$  will be endowed with the standard norm  $||u||_{1,p}^p = \int_{\Omega} |\nabla u|^p dx$  and  $||\cdot||_p$  will denote the usual norm of  $L^p(\Omega)$ .

Assume that  $\mathcal{L} : \Omega \times \mathbb{R} \times \mathbb{R}^n \to \mathbb{R}$  is measurable in x for all  $(s,\xi) \in \mathbb{R} \times \mathbb{R}^n$ , of class  $C^1$  in  $(s,\xi)$  a.e. in  $\Omega$ , that  $\mathcal{L}(x,s,\cdot)$  is strictly convex and  $\mathcal{L}(x,s,0) = 0$ . Moreover, assume the following:

 $(\mathcal{A}_1)$  There exists  $b_0 > 0$  such that

$$\mathcal{L}(x,s,\xi) \le b_0 |s|^p + b_0 |\xi|^p \tag{3}$$

for a.e.  $x \in \Omega$  and for all  $(s, \xi) \in \mathbb{R} \times \mathbb{R}^n$ .

 $(\mathcal{A}_2)$  There exists  $b_1 > 0$  such that for each  $\delta > 0$  there exists  $a_{\delta} \in L^1(\Omega)$  with

$$|D_s \mathcal{L}(x, s, \xi)| \le a_\delta(x) + \delta |s|^{p^*} + b_1 |\xi|^p \tag{4}$$

for a.e.  $x \in \Omega$  and for all  $(s,\xi) \in \mathbb{R} \times \mathbb{R}^n$ . Moreover, there exist  $a_1 \in L^{p'}(\Omega)$ and  $\nu > 0$  such that

$$|\nabla_{\xi} \mathcal{L}(x, s, \xi)| \le a_1(x) + b_1 |s|^{\frac{p^*}{p'}} + b_1 |\xi|^{p-1},$$
(5)

$$\nabla_{\xi} \mathcal{L}(x, s, \xi) \cdot \xi \ge \nu |\xi|^p \tag{6}$$

for a.e.  $x \in \Omega$  and for all  $(s, \xi) \in \mathbb{R} \times \mathbb{R}^n$ .

 $(\mathcal{A}_3)$  For a.e.  $x \in \Omega$  and for all  $(s,\xi) \in \mathbb{R} \times \mathbb{R}^n$ ,

$$D_s \mathcal{L}(x, s, \xi) s \ge 0 \tag{7}$$

and there exists  $\gamma \in (0, p^* - p)$  such that

$$(\gamma + p)\mathcal{L}(x, s, \xi) - \nabla_{\xi}\mathcal{L}(x, s, \xi) \cdot \xi - D_s\mathcal{L}(x, s, \xi)s \ge 0$$
(8)

for a.e.  $x \in \Omega$  and for all  $(s, \xi) \in \mathbb{R} \times \mathbb{R}^n$ .

**Remark 2.1.** The growth conditions of  $(\mathcal{A}_1)$  and  $(\mathcal{A}_2)$  and the assumptions in  $(\mathcal{A}_3)$  are natural in the fully nonlinear setting and were considered in [18], and in a stronger form in [1, 16] (see also Remark 6.2). Notice that when  $\mathcal{L}$  is p-homogeneous with respect to  $\xi$ , then condition (8) becomes  $D_s \mathcal{L}(x, s, \xi) \le \gamma \mathcal{L}(x, s, \xi)$  for a.e.  $x \in \Omega$  and for all  $(s, \xi) \in \mathbb{R} \times \mathbb{R}^n$ .

As an example, taking  $A \in C^1(\mathbb{R}) \cap L^{\infty}(\mathbb{R})$  with  $A' \in L^{\infty}(\mathbb{R})$ ,  $A(s) \geq \nu$  and  $\gamma A(s) \geq A'(s) \leq 0$  for each  $s \in \mathbb{R}$ , the class of Lagrangians

$$\mathcal{L}(x,s,\xi) = \frac{1}{p}A(s)|\xi|^p$$

satisfies all the previous requirements. For instance  $(\gamma^{-1} + \arctan(s^2))|\xi|^p/p$  belongs to this class for each  $\gamma \in (0, p^* - p)$ .

**Remark 2.2.** We stress that although as noted in the introduction  $f_{\varepsilon}$  fails to be differentiable, one may compute the derivatives along the  $L^{\infty}$ -directions, namely

$$f_{\varepsilon}'(u)(\varphi) = \int_{\Omega} \nabla_{\xi} \mathcal{L}(x, u, \nabla u) \cdot \nabla \varphi \, dx + \int_{\Omega} D_s \mathcal{L}(x, u, \nabla u) \varphi \, dx - \int_{\Omega} |u|^{p^* - 2 - \varepsilon} u \varphi \, dx.$$

for all  $u \in W_0^{1,p}(\Omega)$  and for all  $\varphi \in W_0^{1,p} \cap L^{\infty}(\Omega)$ .

The following is a general property due to Brézis and Browder [5].

**Proposition 2.3.** Let  $u, v \in W_0^{1,p}(\Omega)$  be such that  $D_s\mathcal{L}(x, u, \nabla u)v \geq 0$  and

$$\langle w, \varphi \rangle = \int_{\Omega} \nabla_{\xi} \mathcal{L}(x, u, \nabla u) \cdot \nabla \varphi \, dx + \int_{\Omega} D_s \mathcal{L}(x, u, \nabla u) \varphi \, dx \qquad (\varphi \in C_c^{\infty}(\Omega))$$

with  $w \in L^1_{loc}(\Omega) \cap W^{-1,p'}(\Omega)$ . Then  $D_s \mathcal{L}(x, u, \nabla u) v \in L^1(\Omega)$  and

$$\langle w, v \rangle = \int_{\Omega} \nabla_{\xi} \mathcal{L}(x, u, \nabla u) \cdot \nabla v \, dx + \int_{\Omega} D_s \mathcal{L}(x, u, \nabla u) v \, dx.$$

From now on, by solution of problem  $(\mathcal{P}_{\varepsilon})$  we shall always mean weak solution, namely  $f'_{\varepsilon}(u_{\varepsilon}) = 0$  in the sense of distributions. The next lemma is our starting point. **Lemma 2.4.** For each  $\varepsilon > 0$ ,  $(\mathcal{P}_{\varepsilon})$  admits a non-trivial solution  $u_{\varepsilon} \in W_0^{1,p}(\Omega)$ . **Proof.** See [18: Theorem 1.1]

We point out that, in our general framework, the technical aspects in the verification of the Palais-Smale condition for  $f_{\varepsilon}$  are, in our opinion, interesting and not trivial.

Note that since  $\mathcal{L}(x, s, 0) = 0$ , in view of (6) one obtains

$$\mathcal{L}(x,s,\xi) \ge \frac{\nu}{p} |\xi|^p \tag{9}$$

for a.e.  $x \in \Omega$  and for all  $(s, \xi) \in \mathbb{R} \times \mathbb{R}^n$ .

**Lemma 2.5.** Let  $(u_{\varepsilon})_{\varepsilon>0} \subset W_0^{1,p}(\Omega)$  be a sequence of solutions of problem  $(\mathcal{P}_{\varepsilon})$ such that  $\lim_{\varepsilon \to 0} f_{\varepsilon}(u_{\varepsilon}) < +\infty$ . Then  $(u_{\varepsilon})_{\varepsilon>0}$  is bounded in  $W_0^{1,p}(\Omega)$ .

**Proof.** For each  $\varepsilon > 0$  we have  $f'_{\varepsilon}(u_{\varepsilon})(\varphi) = 0$  for each  $\varphi \in C^{\infty}_{c}(\Omega)$ . On the other hand, taking into account (7), by Proposition 2.3 one can also take  $\varphi = u_{\varepsilon}$ . Therefore, in view of (8) and (9) one obtains

$$\lim_{\varepsilon \to 0} f_{\varepsilon}(u_{\varepsilon}) = \lim_{\varepsilon \to 0} \left( f_{\varepsilon}(u_{\varepsilon}) - \frac{1}{p^{*} - \varepsilon} f_{\varepsilon}'(u_{\varepsilon})(u_{\varepsilon}) \right)$$
$$= \lim_{\varepsilon \to 0} \left( \int_{\Omega} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \, dx - \frac{1}{p^{*} - \varepsilon} \int_{\Omega} \nabla_{\xi} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \cdot \nabla u_{\varepsilon} \, dx - \frac{1}{p^{*} - \varepsilon} \int_{\Omega} D_{s} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) u_{\varepsilon} \, dx \right)$$
$$\geq \lim_{\varepsilon \to 0} \frac{p^{*} - p - \varepsilon - \gamma}{p^{*} - \varepsilon} \int_{\Omega} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \, dx$$
$$\geq \frac{p^{*} - p - \gamma}{pp^{*}} \nu \lim_{\varepsilon \to 0} \int_{\Omega} |\nabla u_{\varepsilon}|^{p} \, dx.$$

In particular,  $(u_{\varepsilon})_{\varepsilon>0}$  is bounded in  $W_0^{1,p}(\Omega)$ 

Let us now recall the classical P.L. Lions' concentration-compactness principle

**Lemma 2.6.** Let  $(u_{\varepsilon})_{\varepsilon>0} \subset W_0^{1,p}(\Omega)$  be bounded and let u be its weak limit. Then there exist two bounded positive measures  $\mu$  and  $\sigma$  such that

$$|\nabla u_{\varepsilon}|^{p} \rightharpoonup \mu, \ |u_{\varepsilon}|^{p^{*}} \rightharpoonup \sigma \quad (in \ the \ sense \ of \ measures)$$
(10)

$$\mu \ge |\nabla u|^p + \sum_{j=1} \mu_j \delta_{x_j} \quad (\mu_j \ge 0)$$
(11)

$$\sigma = |u|^{p^*} + \sum_{j=1}^{\infty} \sigma_j \delta_{x_j} \quad (\sigma_j \ge 0)$$
(12)

$$\mu_j \ge S\sigma_j^{\frac{p}{p^*}} \tag{13}$$

where  $\delta_{x_j}$  denotes the Dirac measure at  $x_j \in \overline{\Omega}$  and S denotes the best Sobolev constant for the embedding  $W_0^{1,p}(\Omega) \hookrightarrow L^{p^*}(\Omega)$ .

**Proof.** See e.g. [13, Lemma I.1] or [14] ■

Under assumptions  $(\mathcal{A}_1) - (\mathcal{A}_3)$ , the following is our main result.

**Theorem 2.7.** Assume that  $(u_{\varepsilon})_{\varepsilon>0} \subset W_0^{1,p}(\Omega)$  is a sequence of solutions of problem  $(\mathcal{P}_{\varepsilon})$  such that  $f_{\varepsilon}(u_{\varepsilon}) \to c$  and

$$\frac{p^* - p - \gamma}{pp^*} (\nu S)^{\frac{n}{p}} < c < 2 \, \frac{p^* - p - \gamma}{pp^*} (\nu S)^{\frac{n}{p}}.$$

Then  $\mu_j = 0$  for  $j \ge 2$  and the following alternative holds:

- (a)  $\mu_1 = 0$  and u is a non-trivial solution of problem  $(\mathcal{P}_0)$ .
- **(b)**  $\mu_1 \neq 0$  and u = 0.

This result extends [9: Theorem 9] to a class of fully nonlinear elliptic problems.

**Theorem 2.8.** Let  $(u_{\varepsilon})_{\varepsilon>0}$  be any sequence of solutions of problem  $(\mathcal{P}_{\varepsilon})$  with

$$\lim_{\varepsilon \to 0} f_{\varepsilon}(u_{\varepsilon}) = \frac{p^* - p - \gamma}{pp^*} (\nu S)^{\frac{n}{p}}.$$

Then u = 0.

As we shall see in Section 5, this is also the behaviour when one considers critical levels of mountain-pass type.

# 3. The weak limit of $(u_{\varepsilon})_{\varepsilon>0}$

Let us briefly summarize the main properties of the best Sobolev constant [19].

**Proposition 3.1.** Let 1 and S be the best Sobolev constant, i.e.

$$S = \inf\left\{\int_{\Omega} |\nabla u|^p dx : u \in W_0^{1,p}(\Omega) \quad with \quad \int_{\Omega} |u|^{p^*} dx = 1\right\}.$$
 (14)

Then the following facts hold:

- (a) S is independent on  $\Omega \subset \mathbb{R}^n$ .
- (b) The infimum (14) is never achieved on bounded domains  $\Omega \subset \mathbb{R}^n$ .
- (c) The infimum (14) is achieved if  $\Omega = \mathbb{R}^n$  by the family of functions on  $\mathbb{R}^n$

$$T_{\delta,x_0}(x) = \left(n\delta\left(\frac{n-p}{p-1}\right)^{p-1}\right)^{\frac{n-p}{p^2}} \left(\delta + |x-x_0|^{\frac{p}{p-1}}\right)^{-\frac{n-p}{p}}$$
(15)

with  $\delta > 0$  and  $x_0 \in \mathbb{R}^n$ . Moreover,  $T_{\delta, x_0}$  is a solution of  $-\Delta_p u = u^{p^*-1}$  on  $\mathbb{R}^n$ .

The next result establishes uniform lower bounds for the Dirac masses.

**Lemma 3.2.** If  $\mu_j \neq 0$ , then  $\sigma_j \geq \nu^{\frac{n}{p}} S^{\frac{n}{p}}$  and  $\mu_j \geq \nu^{\frac{n}{p^*}} S^{\frac{n}{p}}$ .

**Proof.** Let  $x_j \in \overline{\Omega}$  the point which supports the Dirac measure of coefficient  $\sigma_j$ . Denoting with  $B(x_j, \delta)$  the open ball of center  $x_j$  and radius  $\delta > 0$ , we can consider a function  $\psi_{\delta} \in C_c^{\infty}(\mathbb{R}^n)$  such that  $0 \leq \psi_{\delta} \leq 1$ ,  $|\nabla \psi_{\delta}| \leq \frac{2}{\delta}$ ,  $\psi_{\delta}(x) = 1$  if  $x \in B(x_j, \delta)$ and  $\psi_{\delta}(x) = 0$  if  $x \notin B(x_j, 2\delta)$ . By Proposition 2.3 we have

$$0 = f_{\varepsilon}'(u_{\varepsilon})(\psi_{\delta}u_{\varepsilon})$$

$$= \int_{\Omega} u_{\varepsilon} \nabla_{\xi} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \cdot \nabla \psi_{\delta} \, dx + \int_{\Omega} \psi_{\delta} \nabla_{\xi} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \cdot \nabla u_{\varepsilon} dx \qquad (16)$$

$$+ \int_{\Omega} \psi_{\delta} D_{s} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) u_{\varepsilon} dx - \int_{\Omega} |u_{\varepsilon}|^{p^{*} - \varepsilon} \psi_{\delta} dx.$$

Applying Hölder inequality and (5) to the first term of the decomposition and keeping into account that  $(u_{\varepsilon})_{\varepsilon>0}$  is bounded in  $W_0^{1,p}(\Omega)$ , one finds constants  $c_1, c_2 > 0$  such that

$$\begin{split} \lim_{\varepsilon \to 0} \left| \int_{\Omega} u_{\varepsilon} \nabla_{\xi} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \cdot \nabla \psi_{\delta} dx \right| \\ &\leq \left( \int_{B(x_{j}, 2\delta)} |a_{1}|^{\frac{p}{p-1}} dx \right)^{\frac{p-1}{p}} \left( \int_{B(x_{j}, 2\delta)} |u|^{p^{*}} dx \right)^{\frac{1}{p^{*}}} \left( \int_{B(x_{j}, 2\delta)} |\nabla \psi_{\delta}|^{n} dx \right)^{\frac{1}{n}} \\ &+ b_{1} \left( \int_{B(x_{j}, 2\delta)} |u|^{p^{*}} dx \right)^{\frac{n-1}{n}} \left( \int_{B(x_{j}, 2\delta)} |\nabla \psi_{\delta}|^{n} dx \right)^{\frac{1}{n}} \\ &+ \widetilde{b}_{1} \left( \int_{B(x_{j}, 2\delta)} |u|^{p^{*}} dx \right)^{\frac{1}{p^{*}}} \left( \int_{B(x_{j}, 2\delta)} |\nabla \psi_{\delta}|^{n} dx \right)^{\frac{1}{n}} \\ &\leq c_{1} \left( \int_{B(x_{j}, 2\delta)} |u|^{p^{*}} dx \right)^{\frac{1}{p^{*}}} + c_{2} \left( \int_{B(x_{j}, 2\delta)} |u|^{p^{*}} dx \right)^{\frac{n-1}{n}} = \beta_{\delta} \end{split}$$

with  $\beta_{\delta} \to 0$  as  $\delta \to 0$ . Then, taking into account (6) and (7) one has

$$0 \geq -\beta_{\delta} + \lim_{\varepsilon \to 0} \nu \int_{\Omega} |\nabla u_{\varepsilon}|^{p} \psi_{\delta} dx - \lim_{\varepsilon \to 0} \mathcal{L}^{n}(\Omega)^{\frac{\varepsilon}{p^{*}}} \left( \int_{\Omega} |u_{\varepsilon}|^{p^{*}} \psi_{\delta} dx \right)^{\frac{p^{*} - \varepsilon}{p^{*}}} \\ \geq -\beta_{\delta} + \nu \int_{\Omega} \psi_{\delta} d\mu - \int_{\Omega} \psi_{\delta} d\sigma.$$

Letting  $\delta \to 0$ , it results  $\nu \mu_j \leq \sigma_j$ . By means of (13) the proof is complete

In the next result we obtain uniform lower bounds for the non-zero weak limits.

**Lemma 3.3.** If  $u \neq 0$ , then  $\int_{\Omega} |\nabla u|^p dx > \nu^{\frac{n}{p^*}} S^{\frac{n}{p}}$  and  $\int_{\Omega} |u|^{p^*} dx > \nu^{\frac{n}{p}} S^{\frac{n}{p}}$ .

**Proof.** By Lemma 3.2 we may assume that  $\mu$  has at most r Dirac masses  $\mu_1, \ldots, \mu_r$  at  $x_1, \ldots, x_r$ , respectively. Let now  $0 < \delta < \frac{1}{4} \min\{|x_i - x_j| : i \neq j\}$ 

and  $\psi_{\delta} \in C_c^{\infty}(\mathbb{R}^n)$  be such that  $0 \leq \psi_{\delta} \leq 1$ ,  $|\nabla \psi_{\delta}| \leq \frac{2}{\delta}$ ,  $\psi_{\delta}(x) = 1$  if  $x \in B(x_j, \delta)$ and  $\psi_{\delta}(x) = 0$  if  $x \notin B(x_j, 2\delta)$ . Taking into account (7), for each  $\varepsilon, \delta > 0$  we have

$$\int_{\Omega} D_s \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) u_{\varepsilon} (1 - \psi_{\delta}) \, dx \ge 0.$$

Then, since one can choose  $(1 - \psi_{\delta})u_{\varepsilon}$  as test, by (6) one obtains

$$0 = f_{\varepsilon}'(u_{\varepsilon})((1 - \psi_{\delta})u_{\varepsilon})$$

$$= \int_{\Omega} \nabla_{\xi} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \cdot \nabla u_{\varepsilon}(1 - \psi_{\delta}) dx$$

$$- \int_{\Omega} u_{\varepsilon} \nabla_{\xi} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \cdot \nabla \psi_{\delta} dx$$

$$+ \int_{\Omega} D_{s} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) u_{\varepsilon}(1 - \psi_{\delta}) dx$$

$$- \int_{\Omega} |u_{\varepsilon}|^{p^{*} - \varepsilon} (1 - \psi_{\delta}) dx$$

$$= \nu \int_{\Omega} |\nabla u_{\varepsilon}|^{p} (1 - \psi_{\delta}) dx$$

$$- \int_{\Omega} u_{\varepsilon} \nabla_{\xi} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \cdot \nabla \psi_{\delta} dx$$

$$- \mathcal{L}^{n}(\Omega)^{\frac{\varepsilon}{p^{*}}} \left( \int_{\Omega} |u_{\varepsilon}|^{p^{*}} (1 - \psi_{\delta}) dx \right)^{\frac{p^{*} - \varepsilon}{p^{*}}}.$$
(18)

On the other hand, arguing as for (17), one obtains

$$\lim_{\varepsilon \to 0} \left| \int_{\Omega} u_{\varepsilon} \nabla_{\xi} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \cdot \nabla \psi_{\delta} dx \right| \le \beta_{\delta}$$
(19)

for each  $\delta > 0$ . Now, it results

$$\lim_{\varepsilon \to 0} \int_{\Omega} |\nabla u_{\varepsilon}|^{p} (1 - \psi_{\delta}) dx = \int_{\Omega} (1 - \psi_{\delta}) d\mu$$
  

$$\geq \int_{\Omega} |\nabla u|^{p} (1 - \psi_{\delta}) dx + \sum_{j=1}^{r} \mu_{j} (1 - \psi_{\delta}(x_{j})) \qquad (20)$$
  

$$= \int_{\Omega} |\nabla u|^{p} dx + o(1)$$

as  $\delta \rightarrow 0$  and

$$\lim_{\varepsilon \to 0} \int_{\Omega} |u_{\varepsilon}|^{p^{*}} (1 - \psi_{\delta}) dx = \int_{\Omega} (1 - \psi_{\delta}) d\sigma$$
$$= \int_{\Omega} |u|^{p^{*}} (1 - \psi_{\delta}) dx + \sum_{j=1}^{r} \sigma_{j} (1 - \psi_{\delta}(x_{j})) \qquad (21)$$
$$= \int_{\Omega} |u|^{p^{*}} dx + o(1)$$

as  $\delta \to 0$ . Therefore, in view of (19) - (21), by letting  $\delta \to 0$  and  $\varepsilon \to 0$  in (18) one concludes that

$$\nu \int_{\Omega} |\nabla u|^p dx \le \int_{\Omega} |u|^{p^*} dx.$$
(22)

As  $\Omega$  is bounded, by Proposition 3.1/(b) one has  $\int_{\Omega} |\nabla u|^p dx > S\left(\int_{\Omega} |u|^{p^*} dx\right)^{\frac{p}{p^*}}$  which combined with (22) yields the assertion

**Lemma 3.4.** Let  $(u_{\varepsilon})_{\varepsilon>0} \subset W_0^{1,p}(\Omega)$  be a sequence of solutions of problem  $(\mathcal{P}_{\varepsilon})$ and let u be its weak limit. Then u is a solution of problem  $(\mathcal{P}_0)$ .

**Proof.** For each  $\varepsilon > 0$  and  $\varphi \in C_c^{\infty}(\Omega)$ ,

$$\int_{\Omega} \nabla_{\xi} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \cdot \nabla \varphi \, dx + \int_{\Omega} D_{s} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \varphi \, dx = \int_{\Omega} |u_{\varepsilon}|^{p^{*} - 2 - \varepsilon} u_{\varepsilon} \varphi \, dx.$$
(23)

Since  $(u_{\varepsilon})_{\varepsilon>0}$  is bounded in  $W_0^{1,p}(\Omega)$ , up to a subsequence, u satisfies

$$\left. \begin{array}{ll} \nabla u_{\varepsilon} \to \nabla u & \text{ in } L^{p}(\Omega) \\ u_{\varepsilon} \to u & \text{ in } L^{p}(\Omega) \\ u_{\varepsilon}(x) \to u(x) & \text{ for a.e. } x \in \Omega \end{array} \right\}$$

as  $\varepsilon \to 0$ . Moreover, by [7: Theorem 1], up to a further subsequence, we have  $\nabla u_{\varepsilon}(x) \to \nabla u(x)$  for a.e.  $x \in \Omega$ . Therefore, in view of (5) one deduces that

$$\nabla_{\xi} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \rightharpoonup \nabla_{\xi} \mathcal{L}(x, u, \nabla u) \qquad \text{in } L^{p'}(\Omega, \mathbb{R}^n).$$
(24)

By (4) - (6) one finds a constant M > 0 such that for each  $\delta > 0$ 

$$|D_s \mathcal{L}(x, s, \xi)| \le M \nabla_{\xi} \mathcal{L}(x, s, \xi) \cdot \xi + a_{\delta}(x) + \delta |s|^{p^*}$$
(25)

for a.e.  $x \in \Omega$  and for all  $(s,\xi) \in \mathbb{R} \times \mathbb{R}^n$ . If we test equation (23) with the functions

$$\varphi_{\varepsilon} = \varphi \exp\{-Mu_{\varepsilon}^{+}\} \qquad (\varepsilon > 0)$$

where  $0 \leq \varphi \in W_0^{1,p} \cap L^{\infty}(\Omega)$ , we obtain

$$\int_{\Omega} \nabla_{\xi} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \cdot \nabla \varphi \exp\{-Mu_{\varepsilon}^{+}\} dx$$
$$-\int_{\Omega} |u_{\varepsilon}|^{p^{*}-2-\varepsilon} u_{\varepsilon} \varphi \exp\{-Mu_{\varepsilon}^{+}\} dx$$
$$+\int_{\Omega} \left[ D_{s} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) - M \nabla_{\xi} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \cdot \nabla u_{\varepsilon}^{+} \right] \varphi \exp\{-Mu_{\varepsilon}^{+}\} dx = 0$$

Since by inequalities (7) and (25) for each  $\varepsilon > 0$  and  $\delta > 0$  we have

$$\left[D_s\mathcal{L}(x,u_{\varepsilon},\nabla u_{\varepsilon}) - M\nabla_{\xi}\mathcal{L}(x,u_{\varepsilon},\nabla u_{\varepsilon}) \cdot \nabla u_{\varepsilon}^+\right]\varphi\exp\{-Mu_{\varepsilon}^+\} - \delta|u_{\varepsilon}|^{p^*} \le a_{\delta}(x),$$

arguing as in [18: Theorem 3.4] one obtains

$$\limsup_{\varepsilon \to 0} \int_{\Omega} \left[ D_s \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) - M \nabla_{\xi} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \cdot \nabla u_{\varepsilon}^+ \right] \varphi \exp\{-M u_{\varepsilon}^+\} dx$$
$$\leq \int_{\Omega} \left[ D_s \mathcal{L}(x, u, \nabla u) - M \nabla_{\xi} \mathcal{L}(x, u, \nabla u) \cdot \nabla u^+ \right] \varphi \exp\{-M u^+\} dx.$$

Therefore, taking into account (24) and since as  $\varepsilon \to 0$ 

$$\int_{\Omega} |u_{\varepsilon}|^{p^* - 2 - \varepsilon} u_{\varepsilon} \varphi \, dx \to \int_{\Omega} |u|^{p^* - 2} u \varphi \, dx$$

for each  $0 \leq \varphi \in W_0^{1,p} \cap L^{\infty}(\Omega)$ , one may conclude that

$$\int_{\Omega} \nabla_{\xi} \mathcal{L}(x, u, \nabla u) \cdot \nabla \varphi \exp\{-Mu^{+}\} dx$$
$$-\int_{\Omega} |u|^{p^{*}-2} u \varphi \exp\{-Mu^{+}\} dx \qquad (26)$$
$$+ \int_{\Omega} \left[ D_{s} \mathcal{L}(x, u, \nabla u) - M \nabla_{\xi} \mathcal{L}(x, u, \nabla u) \cdot \nabla u^{+} \right] \varphi \exp\{-Mu^{+}\} dx \ge 0$$

for each  $0 \leq \varphi \in W_0^{1,p} \cap L^{\infty}(\Omega)$ . Testing now (26) with  $\varphi_k = \varphi \vartheta \left(\frac{u}{k}\right) \exp\{Mu^+\}$  where  $0 \leq \varphi \in C_c^{\infty}(\Omega)$  and  $\vartheta$  is smooth,  $\vartheta = 1$  in  $\left[-\frac{1}{2}, \frac{1}{2}\right]$  and  $\vartheta = 0$  in  $(-\infty, -1] \cup [1, +\infty)$ , it follows that

$$\int_{\Omega} \nabla_{\xi} \mathcal{L}(x, u, \nabla u) \cdot \nabla \varphi_{k} \exp\{-Mu^{+}\} dx$$
$$-\int_{\Omega} |u|^{p^{*}-2} u \varphi \,\vartheta\left(\frac{u}{k}\right) dx$$
$$+ \int_{\Omega} \left[ D_{s} \mathcal{L}(x, u, \nabla u) - M \nabla_{\xi} \mathcal{L}(x, u, \nabla u) \cdot \nabla u^{+} \right] \varphi \,\vartheta\left(\frac{u}{k}\right) dx \ge 0$$

which, arguing again as in [18: Theorem 3.4], yields as  $k \to +\infty$ 

$$\int_{\Omega} \nabla_{\xi} \mathcal{L}(x, u, \nabla u) \cdot \nabla \varphi \, dx + \int_{\Omega} D_s \mathcal{L}(x, u, \nabla u) \varphi \, dx \ge \int_{\Omega} |u|^{p^* - 2} u \varphi \, dx$$

for each  $0 \leq \varphi \in C_c^{\infty}(\Omega)$ . Analogously, testing with  $\varphi_{\varepsilon} = \varphi \exp\{-Mu_{\varepsilon}^-\}$ , one obtains the opposite inequality, i.e. u is a solution of problem  $(\mathcal{P}_0) \blacksquare$ 

# 4. Proofs of the main results

Let now  $(u_{\varepsilon})_{\varepsilon>0}$  be a sequence of solutions of problem  $(\mathcal{P}_{\varepsilon})$  with  $f_{\varepsilon}(u_{\varepsilon}) \to c$  and

$$\frac{p^* - p - \gamma}{pp^*} (\nu S)^{\frac{n}{p}} < c < 2 \frac{p^* - p - \gamma}{pp^*} (\nu S)^{\frac{n}{p}}.$$
(27)

Then there exist a subsequence of  $(u_{\varepsilon})_{\varepsilon>0}$  and two bounded positive measures  $\mu$  and  $\sigma$  verifying (10) - (13).

**Proof of Theorem 2.7.** Let us first show that there exists at most one j such that  $\mu_j \neq 0$ . Suppose that  $\mu_j \neq 0$  for  $j = 1, \ldots, r$ ; in view of Lemma 3.2 one has  $\mu_j \geq \nu^{\frac{n}{p^*}} S^{\frac{n}{p}}$ . Following the proof of Lemma 2.5, we obtain

$$c = \lim_{\varepsilon \to 0} f_{\varepsilon}(u_{\varepsilon})$$

$$\geq \frac{p^* - p - \gamma}{pp^*} \nu \lim_{\varepsilon \to 0} \int_{\Omega} |\nabla u_{\varepsilon}|^p dx$$

$$\geq \frac{p^* - p - \gamma}{pp^*} \nu \int_{\Omega} d\mu$$

$$\geq \frac{p^* - p - \gamma}{pp^*} \nu \sum_{j=1}^r \mu_j$$

$$\geq r \frac{p^* - p - \gamma}{pp^*} (\nu S)^{\frac{n}{p}}.$$

Taking into account (27) one has

$$2 \, \frac{p^* - p - \gamma}{pp^*} (\nu S)^{\frac{n}{p}} > c \ge r \, \frac{p^* - p - \gamma}{pp^*} (\nu S)^{\frac{n}{p}},$$

hence  $r \leq 1$ . Now, arguing again as in Lemma 2.5 one obtains

$$2 \frac{p^* - p - \gamma}{pp^*} (\nu S)^{\frac{n}{p}} > c = \lim_{\varepsilon \to 0} f_{\varepsilon}(u_{\varepsilon})$$
$$\geq \frac{p^* - p - \gamma}{pp^*} \nu \lim_{\varepsilon \to 0} \int_{\Omega} |\nabla u_{\varepsilon}|^p dx$$
$$\geq \frac{p^* - p - \gamma}{pp^*} \left( \nu \int_{\Omega} |\nabla u|^p dx + \nu \mu_1 \right).$$

If both summands were non-zero, by Lemmas 3.2 and 3.3 we would obtain

$$\nu \int_{\Omega} |\nabla u|^p dx > (\nu S)^{\frac{n}{p}},$$
$$\nu \mu_1 \ge (\nu S)^{\frac{n}{p}}$$

and thus a contradiction. Vice versa, let us assume that u = 0 and  $\mu_1 = 0$ . Let  $0 \le \psi \in C_c^1(\Omega)$ . By testing our equation with  $\psi u_{\varepsilon}$  and using Hölder inequality, one

gets

$$\int_{\Omega} u_{\varepsilon} \nabla_{\xi} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \cdot \nabla \psi \, dx 
+ \int_{\Omega} \psi \nabla_{\xi} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \cdot \nabla u_{\varepsilon} dx 
+ \int_{\Omega} D_{s} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \psi u_{\varepsilon} dx = \int_{\Omega} |u_{\varepsilon}|^{p^{*} - \varepsilon} \psi dx 
\leq \left( \int_{\Omega} |u_{\varepsilon}|^{p^{*}} \psi \, dx \right)^{\frac{p^{*} - \varepsilon}{p^{*}}} \mathcal{L}^{n}(\Omega)^{\frac{\varepsilon}{p^{*}}}$$
(28)

Since  $(u_{\varepsilon})_{\varepsilon>0}$  is bounded in  $W_0^{1,p}(\Omega)$ , by (5) there exists a constant C>0 such that

$$\left| \int_{\Omega} u_{\varepsilon} \nabla_{\xi} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \cdot \nabla \psi \, dx \right| \leq C \, \|u_{\varepsilon}\|_{p}$$

which by  $u_{\varepsilon} \to 0$  in  $L^p(\Omega)$  yields

$$\lim_{\varepsilon \to 0} \int_{\Omega} u_{\varepsilon} \nabla_{\xi} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \cdot \nabla \psi \, dx = 0$$

Moreover, since by (7) we get

$$\int_{\Omega} D_s \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \psi u_{\varepsilon} dx \ge 0,$$

taking into account (6) and passing to the limit in (28) we get

$$\forall \psi \in C_c(\Omega): \quad \psi \ge 0 \quad \Longrightarrow \quad \nu \int_{\Omega} \psi \, d\mu \le \int_{\Omega} \psi \, d\sigma. \tag{29}$$

On the other hand,  $\mu_1 = 0$  and u = 0 imply  $\sigma = 0$ . Then, since  $\mu \ge 0$ , by (29) we get  $\mu = 0$ . In particular, by (3), (6) and (7) one gets

$$\begin{split} c &= \lim_{\varepsilon \to 0} f_{\varepsilon}(u_{\varepsilon}) \\ &= \lim_{\varepsilon \to 0} \left[ \int_{\Omega} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \, dx \right. \\ &\quad - \frac{1}{p^* - \varepsilon} \int_{\Omega} \nabla_{\xi} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \cdot \nabla u_{\varepsilon} dx \\ &\quad - \frac{1}{p^* - \varepsilon} \int_{\Omega} D_s \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) u_{\varepsilon} dx \right] \\ &\leq b_0 \lim_{\varepsilon \to 0} \left( \int_{\Omega} |u_{\varepsilon}|^p dx + \int_{\Omega} |\nabla u_{\varepsilon}|^p dx \right) \\ &= b_0 \int_{\Omega} d\mu \\ &= 0, \end{split}$$

which is not possible. Therefore, either  $\mu_1 = 0$  and  $u \neq 0$ , or  $\mu_1 \neq 0$  and u = 0

**Remark 4.1.** If (27) is replaced by the (k + 1)-th critical energy range

$$k \, \frac{p^* - p - \gamma}{pp^*} (\nu S)^{\frac{n}{p}} < c < (k+1) \, \frac{p^* - p - \gamma}{pp^*} (\nu S)^{\frac{n}{p}}$$

for  $k \in \mathbb{N}$ , in a similar way one proves that  $\mu_j = 0$  for any  $j \ge k+1$  and there holds:

- (a) If  $\mu_j = 0$  for every  $j \ge 1$ , then u is a non-trivial solution of problem  $(\mathcal{P}_0)$ .
- (b) If  $\mu_j \neq 0$  for every  $1 \leq j \leq k$ , then u = 0.

**Remark 4.2.** Let  $f_0: W_0^{1,p}(\Omega) \to \mathbb{R}$  be the functional associated with problem  $(\mathcal{P}_0)$  and let  $0 \neq u \in W_0^{1,p}(\Omega)$  be a solution of problem  $(\mathcal{P}_0)$  (obtained as weak limit of  $(u_{\varepsilon})_{\varepsilon>0}$ ). Then

$$f_0(u) > \frac{p^* - p - \gamma}{pp^*} (\nu S)^{\frac{n}{p}}.$$
 (30)

Indeed,

$$f_0(u) = f_0(u) - \frac{1}{p^*} f'_0(u)(u)$$
  

$$\geq \frac{p^* - p - \gamma}{p^*} \int_{\Omega} \mathcal{L}(x, u, \nabla u) \, dx$$
  

$$\geq \frac{p^* - p - \gamma}{pp^*} \nu \int_{\Omega} |\nabla u|^p \, dx$$

which yields (30) in view of Lemma 3.3. This, in some sense, explains why one chooses c greater than  $\frac{p^* - p - \gamma}{pp^*} (\nu S)^{\frac{n}{p}}$  in Theorem 2.7.

Let now  $(u_{\varepsilon})_{\varepsilon>0}$  be a sequence of solutions of problem  $(\mathcal{P}_{\varepsilon})$  with  $f_{\varepsilon}(u_{\varepsilon}) \to c$  and

$$\lim_{\varepsilon \to 0} f_{\varepsilon}(u_{\varepsilon}) = \frac{p^* - p - \gamma}{pp^*} (\nu S)^{\frac{n}{p}}.$$

**Proof of Theorem 2.8.** Let us first note that

$$f_0(u) \le \lim_{\varepsilon \to 0} f_\varepsilon(u_\varepsilon) + \frac{1}{p^*} \sum_{j=1}^\infty \sigma_j.$$
(31)

Indeed, taking into account that by [6: Theorem 3.4]

$$\int_{\Omega} \mathcal{L}(x, u, \nabla u) \, dx \leq \lim_{\varepsilon \to 0} \int_{\Omega} \mathcal{L}(x, u_{\varepsilon}, \nabla u_{\varepsilon}) \, dx,$$

(31) follows by combining Hölder inequality with (12).

Now assume by contradiction that  $u \neq 0$ . Then there exists  $j_0 \in \mathbb{N}$  such that  $\mu_{j_0} \neq 0$  and  $\sigma_{j_0} \neq 0$ , otherwise by Remark 4.2 and (31) we would get

$$\frac{p^* - p - \gamma}{pp^*} (\nu S)^{\frac{n}{p}} < f_0(u) \le \lim_{\varepsilon \to 0} f_\varepsilon(u_\varepsilon) = \frac{p^* - p - \gamma}{pp^*} (\nu S)^{\frac{n}{p}}.$$

Arguing as in Lemma 2.5 and applying Lemma 3.2, we obtain

$$\frac{p^* - p - \gamma}{pp^*} (\nu S)^{\frac{n}{p}} = \lim_{\varepsilon \to 0} f_{\varepsilon}(u_{\varepsilon})$$

$$\geq \frac{p^* - p - \gamma}{pp^*} \left( \nu \int_{\Omega} |\nabla u|^p dx + \nu \mu_{j_0} \right)$$

$$\geq \frac{p^* - p - \gamma}{pp^*} \nu \int_{\Omega} |\nabla u|^p dx + \frac{p^* - p - \gamma}{pp^*} (\nu S)^{\frac{n}{p}}$$

which implies u = 0 - a contradiction

#### 5. Mountain-pass critical values

In this section, we shall investigate the asymptotics of  $(u_{\varepsilon})$  in the case of critical levels of min-max type. We assume that  $\mathcal{L}$  is *p*-homogeneous with respect to  $\xi$  and satisfies a stronger assumption, i.e.

$$\mathcal{L}(x,s,\xi) \le \frac{1}{p} |\xi|^p \tag{32}$$

for a.e.  $x \in \Omega$  and for all  $(s,\xi) \in \mathbb{R} \times \mathbb{R}^n$ . In particular, it results that  $\nu \leq 1$ . Let  $u_{\varepsilon}$  be a critical point of  $f_{\varepsilon}$  associated with the mountain pass level

$$c_{\varepsilon} = \inf_{\eta \in \mathcal{C}_{\varepsilon}} \max_{t \in [0,1]} f_{\varepsilon}(\eta(t))$$
(33)

where

$$\mathcal{C}_{\varepsilon} = \left\{ \eta \in C\left([0,1], W_0^{1,p}(\Omega)\right) : \eta(0) = 0 \text{ and } \eta(1) = w_{\varepsilon} \right\}$$

and  $w_{\varepsilon} \in W_0^{1,p}(\Omega)$  is chosen in such a way that  $f_{\varepsilon}(w_{\varepsilon}) < 0$ .

**Lemma 5.1.** The inequality  $\lim_{\varepsilon \to 0} f_{\varepsilon}(u_{\varepsilon}) \leq \frac{1}{n} S^{\frac{n}{p}}$  holds.

**Proof.** Let  $x_0 \in \Omega$  and  $\delta > 0$ , and consider the functions  $T_{\delta,x_0}$  as in (15). By Proposition 3.1/(c) one has

$$\|\nabla T_{\delta,x_0}\|_{p,\mathbb{R}^n}^p = \|T_{\delta,x_0}\|_{p^*,\mathbb{R}^n}^{p^*} = S^{\frac{n}{p}}.$$

Moreover, taking a function  $\phi \in C_c^{\infty}(\Omega)$  with  $0 \le \phi \le 1$  and  $\phi = 1$  in a neighbourhood of  $x_0$  and setting  $v_{\delta} = \phi T_{\delta, x_0}$ , it results

$$\|\nabla v_{\delta}\|_{p}^{p} = S^{\frac{n}{p}} + o(1) \\ \|v_{\delta}\|_{p^{*}}^{p^{*}} = S^{\frac{n}{p}} + o(1)$$
 (34)

(see [10: Lemma 3.2]).

We want to prove that, for any  $t \ge 0$ ,

$$\lim_{\varepsilon \to 0} f_{\varepsilon}(tv_{\delta}) \le \frac{1}{n} S^{\frac{n}{p}} + o(1) \qquad (\delta \to 0)$$

By (32) one has

$$\lim_{\varepsilon \to 0} f_{\varepsilon}(tv_{\delta}) = t^{p} \int_{\Omega} \mathcal{L}(x, tv_{\delta}, \nabla v_{\delta}) \, dx - \lim_{\varepsilon \to 0} \frac{t^{p^{*} - \varepsilon}}{p^{*} - \varepsilon} \int_{\Omega} |v_{\delta}|^{p^{*} - \varepsilon} dx$$
$$\leq \frac{t^{p}}{p} \int_{\Omega} |\nabla v_{\delta}|^{p} dx - \frac{t^{p^{*}}}{p^{*}} \int_{\Omega} |v_{\delta}|^{p^{*}} dx.$$

Keeping into account (34) and the fact that  $\frac{t^p}{p} - \frac{t^{p^*}}{p^*} \leq \frac{1}{n}$  for every  $t \geq 0$ , one gets

$$\lim_{\varepsilon \to 0} f_{\varepsilon}(tv_{\delta}) \leq \frac{t^p}{p} S^{\frac{n}{p}} - \frac{t^{p^*}}{p^*} S^{\frac{n}{p}} + o(1) \leq \frac{1}{n} S^{\frac{n}{p}} + o(1) \qquad (\delta \to 0).$$

Now choose  $t_0 > 0$  such that  $f_{\varepsilon}(t_0 v_{\delta}) < 0$ ; by (33) we have

$$\lim_{\varepsilon \to 0} f_{\varepsilon}(u_{\varepsilon}) \le \lim_{\varepsilon \to 0} \max_{s \in [0,1]} f_{\varepsilon}(st_0v_{\delta}) \le \frac{1}{n}S^{\frac{n}{p}} + o(1)$$

and this, by letting  $\delta \to 0$ , ends up the proof

**Theorem 5.2.** Suppose that the number of non-zero Dirac masses is

$$\left[\frac{pp^*}{(p^*-p-\gamma)n\nu^{\frac{n}{p}}}\right]$$

where [x] denotes the integer part of x. Then u = 0.

**Proof.** Keeping into account the previous lemma and arguing as in Lemma 2.5,

$$\frac{1}{n}S^{\frac{n}{p}} \geq \lim_{\varepsilon \to 0} f_{\varepsilon}(u_{\varepsilon})$$

$$\geq \frac{p^{*} - p - \gamma}{pp^{*}} \nu \left( \int_{\Omega} |\nabla u|^{p} dx + \sum_{j=1}^{r} \mu_{j} \right)$$

$$\geq \frac{p^{*} - p - \gamma}{pp^{*}} \nu \int_{\Omega} |\nabla u|^{p} dx + r \frac{p^{*} - p - \gamma}{pp^{*}} \nu^{\frac{n}{p}} S^{\frac{n}{p}}$$

where r denotes the number of non-vanishing masses. Hence it must be

$$0 \le r \le \left[\frac{pp^*}{(p^* - p - \gamma)n\nu^{\frac{n}{p}}}\right].$$

In particular, if r is maximum and  $u \neq 0$ , by virtue of Lemma 3.3 one obtains

$$\frac{p^* - p - \gamma}{pp^*} \nu^{\frac{n}{p}} S^{\frac{n}{p}} > \frac{p^* - p - \gamma}{pp^*} \nu \int_{\Omega} |\nabla u|^p dx > \frac{p^* - p - \gamma}{pp^*} \nu^{\frac{n}{p}} S^{\frac{n}{p}}$$

which is a contradiction  $\blacksquare$ 

## 6. Final remarks

Assume that  $\mathcal{L}(x, s, \xi)$  is of class  $C^1$  in  $\overline{\Omega} \times \mathbb{R} \times \mathbb{R}^n$  and, additionally, that the vector-valued function

$$\nabla_{\xi} \mathcal{L}(x, s, \xi) = \left(\frac{\partial \mathcal{L}}{\partial \xi_1}(x, s, \xi), \dots, \frac{\partial \mathcal{L}}{\partial \xi_n}(x, s, \xi)\right)$$

is of class  $C^1$  in  $\overline{\Omega} \times \mathbb{R} \times \mathbb{R}^n$ .

**Theorem 6.1.** Let  $\Omega$  be star-shaped with respect to the origin and assume that

$$p^* \nabla_x \mathcal{L}(x, s, \xi) \cdot x - n D_s \mathcal{L}(x, s, \xi) s \ge 0$$

for  $(x, s, \xi) \in \Omega \times \mathbb{R} \times \mathbb{R}^n$ . Then  $(\mathcal{P}_0)$  has no non-trivial solution u in  $C^2(\Omega) \cap C^1(\overline{\Omega})$ .

**Proof.** Let  $\widehat{\mathcal{L}}: \Omega \times \mathbb{R} \times \mathbb{R}^n \to \mathbb{R}$  by defined by setting

$$\widehat{\mathcal{L}}(x,s,\xi) = \mathcal{L}(x,s,\xi) - \frac{1}{p^*}|s|^{p^*}$$

for all  $(x, s, \xi) \in \Omega \times \mathbb{R} \times \mathbb{R}^n$ . Then apply the Pucci-Serrin inequality [17]

$$n\widehat{\mathcal{L}} + \nabla_x\widehat{\mathcal{L}} \cdot x - aD_s\widehat{\mathcal{L}}s - (a+1)\nabla_{\xi}\widehat{\mathcal{L}} \cdot \xi \ge 0$$

with the choice  $a = \frac{n-p}{p}$ 

**Remark 6.2.** If  $\Omega$  is star-shaped and  $\mathcal{L}$  does not depend on x, then problem  $(\mathcal{P}_0)$  admits no non-trivial solution in  $C^2(\Omega) \cap C^1(\overline{\Omega})$  when  $D_s\mathcal{L}(s,\xi)s \leq 0$ , which is the opposite of (7). In particular, (7) seems to be a natural assumption.

**Remark 6.3.** As noted in the introduction, if  $\Omega$  is star-shaped and  $\mathcal{L}(\xi) = |\xi|^p/p$ , in [10] it is proven that problem  $(\mathcal{P}_0)$  has no non-trivial solution in  $W_0^{1,p}(\Omega)$ . In particular, by Theorem 2.7 one has  $\mu_1 \neq 0$ .

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