

## Boundary pts . Algebraic boundary pts

(Varaschin)

$\times$  RS  $\{x_v\}_{v \in \gamma}$  sequence in  $X$

$p: X \rightarrow \mathbb{C}$   
local homeo.

with the following properties:

1)  $\{x_v\}$  discrete (no limit pts in  $X$ )

2)  $p(x_v) \rightarrow a \in \mathbb{P}^1 = \overline{\mathbb{C}}$

3)  $D_\epsilon = \{z / |z - a| < \epsilon\} \quad a \in \mathbb{C}$

$P_\epsilon = \{z / |c| > \frac{1}{\epsilon}\} \cup \{b\}$  for  $a = c$

then for  $\epsilon$  small, all but finitely many  $\{x_v\}$  lie in the same v. comp. of  $p^{-1}(D_\epsilon)$

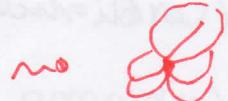
$$\{x_v\} \sim \{y_v\} : z_v = \begin{cases} a \frac{v+1}{2} & v \text{ odd} \\ b \frac{v}{2} & v \text{ even} \end{cases}$$

has the same properties

Boundary ft (rel to  $p$ )  $P = [\{x_v\}] \rightsquigarrow$  eigenvalue class

$$\hat{X} = X \cup \{b.\text{pts}\}$$

Neighbourhood of  $P = [\{x_v\}]$



$\tilde{\Omega}_\epsilon$  = conn. comp of  $p^{-1}(D_\epsilon)$   $\Rightarrow$  all but finitely many def.

$$\tilde{\Omega}_\epsilon = \Omega_\epsilon \cup \{Q\} \quad Q = [\{y_v\}], \quad \{v / y_v \in \Omega_\epsilon\} \text{ finite}$$

The  $\tilde{\Omega}_\epsilon$  form a fundamental system on neighborhood of

$$P \in \hat{X} - X$$

Lecture  
**XIX**

ALGEBRAIC CURVES  
&  
RIEMANN SURFACES

11

XIX-1

→ The topology is Maurjdarff

$$\begin{array}{c} P, Q \\ \text{or} \\ \{x\} \quad \{y\} \end{array} \quad P \neq Q$$

$\exists \varepsilon > 0 \quad S_{\varepsilon,1}, S_{\varepsilon,2} \text{ of } P^{-1}(D_\varepsilon)$   
are distinct and  $S_{\varepsilon,1} \cap S_{\varepsilon,2} = \emptyset$ .

$p$  extends to  $\tilde{p}: \tilde{X} \rightarrow \mathbb{P}^1 \quad \tilde{p}(\infty) = a = \lim p(x_n)$

P algebraic:

$D_\varepsilon$  disc around  
 $\tilde{p}(P)$

$$p(S_\varepsilon) \subset D_\varepsilon - \{a\}$$

conn. comp.  
of  $p^{-1}(D_\varepsilon)$   
containing  
almost all pts  
 $S_\varepsilon \not\ni P$

$\tilde{p}: S_\varepsilon \rightarrow D_\varepsilon - \{a\}$   
is a finite  
covering

$$\Delta_R = \{z \in \mathbb{C} / |z| < R\}$$

$$\Delta_R^* = \Delta_R - \{0\} \quad \exists n \geq 1 \text{ s.m.}$$

$$p: S_\varepsilon \rightarrow D_\varepsilon - \{a\}$$

$$\text{Isom to } p_n: \Delta_{\varepsilon^{\frac{1}{n}}}^* \rightarrow D_\varepsilon - \{a\}$$

typical example

$$p_n(z) = a + z^n, \quad a \in \mathbb{C}$$

$$p_n(z) = z^{-n}, \quad a = 0$$

$$= \left(\frac{1}{z}\right)^n = \bar{z}^n$$

$\tilde{S} = S_\varepsilon \cup \{P\}$  neighborhood of  $P$  in  $\tilde{X}$  non containing any other  
b.p.t. of  $X$   $p|_{S_\varepsilon} : S_\varepsilon \rightarrow D_\varepsilon - \{a\} \cong p_n$ , one has

$$\varphi: \tilde{S} \rightarrow \Delta_{\varepsilon^{\frac{1}{n}}}^* \quad \text{homeo}, \quad \varphi(P) = 0, \quad p \circ \varphi^{-1} = p_n \text{ on } \Delta_{\varepsilon^{\frac{1}{n}}}^*$$

$\varphi|_{S_\varepsilon}$  is holomorphic

$$\hat{X} = X \cup \{\text{algebr. b.-pts}\}$$

extend the complex structure

chart around  $P \in \hat{X} \setminus X$ :

$$(\hat{z}, \hat{\varphi})$$

$$\hat{P} := \hat{P}|_{\hat{X}} \quad \left[ \begin{array}{l} (\hat{X}, \hat{P}) : \text{algebraic completion} \\ \text{of } (X, P) \end{array} \right]$$

$\hat{P} : \hat{X} \rightarrow \mathbb{P}^1$  is holom., but it is not nec. a homeom.

$P$  alg. b.pt.  $\exists$   $n$ -sheeted covering of  $D_\epsilon \setminus \{0\}$ ,  $n > 1$

then  $\hat{P}$  not a local homeo at  $P$



$\hat{P}$ : branched covering

$X \cdot RS \quad a \in X$

$$z \mapsto a$$

$$\text{open } f: U \rightarrow \mathbb{C} \text{ do}$$

$$(U, f) \sim (V, g)$$

& Define the same germ if  $\exists w \in U \cap V \quad f|_w = g|_w$



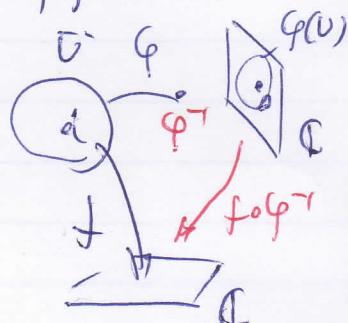
Equivalence class: a germ of a hol. f. at a

$$[(U, f)] = \text{germ of } f \text{ at } a = f_a$$

$$f(a) = f(a) \quad \& \quad (U, f) \text{ defining the same germ}$$

[morally: germ = power series]

$(U, f)$ : chart centered at  $a$ :  $f(a) = 0$



give back to derivatives

$$f^{(k)}(a) = \left( \frac{d}{dz} \right)^k f \circ \varphi^{-1} \Big|_{z=0}$$

+ pair  $(U, f)$  defining  $f_a$

$$\mathcal{O}_a = \{ \text{germs at } a \}$$

ring or better, an algebra  
( $\mathbb{C}$ -algebra)

$M_a = \{ \text{germs } f \mid f(a) = 0 \}$  ideal unique maximal ideal

$\mathcal{O}_a / M_a = \text{units of } \mathcal{O}_a$  ( $f_a$  invertible iff

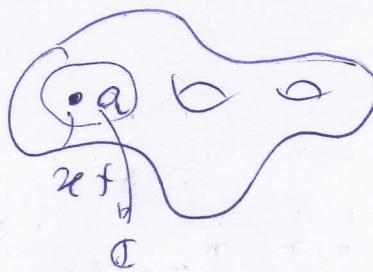
$$f(a) \neq 0$$

Therefore via a chart

$$f_a \mapsto \sum_{n=0}^{\infty} \underbrace{\frac{1}{n!} f_a^{(n)}(a) z^n}_{\mathbb{C}(z)}$$

$\mathbb{C}$ -algebra isomorphism

power series with non zero radii of convergence



TECNICO  
LISBOA



①

XIX-4

$$\sigma = \mathcal{O}_X = \bigsqcup_{a \in X} \mathcal{O}_a \quad (\text{disj union})$$

$\downarrow$   
 $\mathcal{O}_X$

$$P: \mathcal{O}_X \rightarrow X \quad P(f) = a$$

$$f \mapsto f(a)$$

$f_a \in \mathcal{O}_X$   $(U, f)$  pair defining  $f_a$

$$N(U, f) = \{f_a \mid a \in U\}$$

topology: make the  $N(U, f)$  a local system of neighborhoods of  $f_a$ , when  $(U, f)$  runs over all pairs defining  $f_a$

Lemma:  $\mathcal{O}_X$  is Hausdorff and

P:  $\mathcal{O}_X \rightarrow X$  is a local homeo

Proof  $f_a, g_b \in \mathcal{O}_X \quad f_a \neq g_b$ . They can be separated: indeed

①  $a \neq b$   $(U, f), (V, g)$  pairs.

$$\text{find } U \cap V = \emptyset$$

$$\Rightarrow N(U, f) \cap N(V, g) = \emptyset$$



②  $a = b$   $U$  connected, open  $U \ni a$ ,  $f, g$  hol.

$$N(U, f) \cap N(U, g) = \emptyset. \quad \text{Otherwise}$$

If  $h_\alpha$  is in the radius,  $f \neq g$ . Define  $h_\alpha \Rightarrow$  coincide in a neighborhood of  $a$ . But  $U$  is connected so,



by analytic continuation implies

$$f \equiv g \text{ so that } f_a = g_a, \text{ a contradiction.}$$

$\mathcal{O}_X$  is Hausdorff

③

XIX-5

Moreover, if  $U \subset X$  you do in 0

then  $p(N(U,t)) = U$  and  $p|_{N(U,t)}$  is injective

inverse

$a \mapsto f_a$ , whence the lemma follows

[If  $X$  has a countable base, then any connected comp of  $\Omega_X$  has a countable base (Poincaré-Volterra)]

### \*\*\* Riemann surface of an analytic function

$X = \mathbb{C} \setminus \Omega_C$  M a conn. comp of  $\Omega_C$

$p: M \rightarrow \mathbb{C} \subset \mathbb{P}^1$  = restriction to M of

$f_a \mapsto a$ ;  $p: M \rightarrow \mathbb{C}$  local homeo  $\Rightarrow$

¶! structure of RS on M making p holo

$\Rightarrow p$  locally analytic: any  $a \in M$  has  $U \ni a$  with  $p|_U$  anal. iso of  $U$  onto  $p(U)$

Define  $h$ , holo on M, by  $h(f_a) = f(a)$ ,  $a \in U$

$\Rightarrow h$  is holo.

$h$  ("universal") describes all germs obtained via analytic continuation of a fixed germ  $f_a$  at  $a$ .

$$\tilde{M} = M \cup \{ \text{algebraic boundary pts} \}$$

$$p^*: \tilde{M} \rightarrow \mathbb{P}^1 = \bar{\mathbb{C}} \quad \text{the map extending } p$$

Let  $U$  open, connected  $\Rightarrow$   $f$  is continuous on  $U$

$f_a, a \in U$  ( $\Rightarrow$   $f_a$  is continuous on  $U$ )

$$E = M - M \text{ discrete}$$

$X_f = M \cup$  pts which are not essential singularities of  $h$  ( $h \rightarrow$  hol or a poly)

$\Rightarrow \exists h_f$  on  $X_f$  merom. such that

$$h_f|_M = h \quad \text{if } P_f : X_f \rightarrow \mathbb{P}^1 = \tilde{P}|_{X_f}$$

$(X_f, P_f, h_f) : \text{RS of } f \text{ on } \bar{U}$

$R \in X_f - M \quad P_f(R) = a$  near  $\infty$ ,  $a + 2^{-n}$

$P_f \sim 2 \mapsto a + 2^n \quad n \geq 1 \quad \text{or} \quad 2 \mapsto 2^{-n}$

2 local coor. at  $R$  on  $X_f$  w.r.t. coord of  $a$  on  $\mathbb{P}^1$

We have the following local description

$$\begin{cases} w = z^n \\ h_f = \sum_{-\infty}^{\infty} a_r w^{r/n} = \sum_{-\infty}^{\infty} a_r z^r \end{cases}$$

$(\dots, \frac{1}{z}, 1) \in \mathbb{P}^1$

$\mathbb{P}(\mathbb{A}^1) \cong \mathbb{P}^1$

$\mathbb{P}(\mathbb{A}^1) \cong \mathbb{P}^1$

④

XIX-7

## The RS of an algebraic function

$$F(x, y) = a_0(x)y^n + a_1(x)y^{n-1} + \dots + a_n(x) = 0$$

imed.  $V = \{ F(x, y) = 0 \}$

$$S_0 = \{ a_0(x) = 0 \}$$

$$S_1 = \{ x / \exists y : F(x, y) = \frac{\partial F}{\partial y}(x, y) \} = 0$$

$$S = S_0 \cup S_1 \cup \{ 0 \} \subset \mathbb{P}^1 \quad \pi: V \rightarrow \mathbb{C} \\ (x, y) \mapsto x$$

$$V' = V - \pi^{-1}(S) = V - \pi^{-1}(S_0 \cup S_1)$$

$$\pi': \pi|_{V'}$$

then  $\boxed{\pi'|_{\pi^{-1}(D_\varepsilon - \{a\})}} \rightarrow D_\varepsilon - \{a\}$  finite covering  
(n-sheeted)

$\pi'^{-1}(D_\varepsilon - \{a\})$ : finitely many connected components.

Let  $W = c. \text{comp of } V'$ , then  $\pi'|_W: W \rightarrow \mathbb{P}' \setminus S$

covering. Let  $W_1, \dots, W_n$  be the comp of  $V'$ .

$\pi_j = \pi|_{W_j}: W_j \rightarrow \mathbb{P}' \setminus S$  finite covering

$\Rightarrow$  every boundary pt of  $W_j$  is algebraic.

$\hat{\pi}_j: \hat{W}_j \rightarrow \mathbb{P}'$  alg. completion of  $\pi_j$

If  $P \in \hat{W}_j \setminus W_j$ ,  $a = \hat{\pi}_j(P)$ ,  $\exists U \ni P$  and  $\varepsilon > 0$  st.

$\hat{\pi}_j|_U \rightarrow D_\varepsilon$  isom to  $z \mapsto a + z^m$  for some  $m > 0$

$\Rightarrow \hat{\pi}_j|_U \rightarrow D_\varepsilon$  proper.

Then  $\forall a \in S$ ,  $\exists \epsilon > 0$  s.t.

$\tilde{\pi}_j|_{\tilde{\pi}_j^{-1}(D_\epsilon)} \rightarrow D_\epsilon$  is proper

But  $\tilde{\pi}_j|_{W_j} \rightarrow \mathbb{P}^1 \setminus S$  is proper,  $\tilde{\pi}_j: \tilde{W}_j \rightarrow \mathbb{P}^1$  proper  $\Rightarrow \tilde{W}_j$  is compact.

$P_2: V \rightarrow C \cdot (a, y) \mapsto y$ .  $\gamma = P_2|_V$  hole on  $V'$

$\Rightarrow \eta_j = \gamma|_{W_j}$  hole.

Claim:  $\eta_j$  extends to a meromorphic f. on  $\tilde{W}_j$

Let  $a \in S$ .  $\underline{P} = \tilde{\pi}_j(p) = a$   $\Rightarrow$  Locally ( $z \sim p$ )  $w \sim a$

$\tilde{\pi}_j: z \mapsto z^m = w$ .

Now  $\eta_j^n + \frac{a_1(w)}{a_0(w)} \eta_j^{n-1}(z) + \dots + \frac{a_m(w)}{a_0(w)} = 0$   $w = \tilde{\pi}_j(z)$

$\frac{a_r}{a_0}$  near at  $w=0 \Rightarrow \exists C > 0 \quad N > 0$  m.h.

$\frac{a_r(w)}{a_0(w)} \leq \frac{C}{|w|^N}$  near  $w=0$ .

Thus  $|\eta_j(z)| \leq 2 \max \frac{C^{1/\gamma}}{|w|^{N/\gamma}} \leq \frac{C_1}{|z|^{k/\gamma}}$  for constants  $C_1, k$ .

$\Rightarrow \eta_j$  extends meromorphically to  $\tilde{W}_j$ .

Crucial fact:  $V'$  is connected } reason  
 $F$  is irreducible

If not,  $\pi_i : W_i \hookrightarrow \mathbb{P}' \setminus S$  r-sheetsed  
 $1 \leq r < n$

Let  $a \in \mathbb{P}' \setminus S$ ,  $b_r(a) \quad r=1..n$  the  
 $r^{\text{th}}$  el. symm  
 function of  $y_1..y_r \quad y_j = \eta_j(\pi_i^{-1}(a))$

$\therefore$  values of  $p_2$  at  $(x, y) \in V$

$$\Rightarrow F(x, y_j) = 0 \quad j=1..r$$

The  $b_r$  extend to mer. f. on  $\mathbb{P}'$ : the  $y_j$  are the  
 values of  $\eta_i$ , maxm, so near  $a \in S$  we have

$$|b_r(a)| = \left| \sum_{j,j_2} \eta_i(p_{ij}) \cdot \eta_{j_2}(p_{ij_2}) \right| \leq C |a|^{-e}$$

$$\leq C_1 |a-a|^{\frac{-e}{r}},$$

$$(C_1 |a|^{-e}, a=0)$$

$$\{p_1, \dots, p_r\} = \pi_i^{-1}(a)$$

$\Rightarrow b_r$  is merom on  $\mathbb{P}'$ , hence rational

Let  $f(a, y) = y^r + b_1(a)y^{r-1} + \dots + b_r(a)$ . If  $a \in \mathbb{P}' \setminus S$

The roots  $y_1..y_r$  are roots of  $F(a, y) = 0$ .

It divides  $F$  in  $\mathbb{C}(a)[y] \Rightarrow$  it divides  $F$  in  $\mathbb{C}[a][y]$   
 $\deg_y G \geq 1$

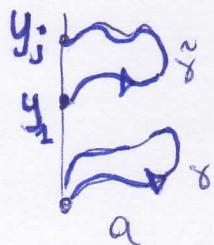
(Grass Lemma)  $\Rightarrow F$  is not irreducible.

$V'$  is then connected and  $\tilde{W}$  is a comp. RS.

$\tilde{W}$  carries  $\eta$  (maxm) and if  $\tilde{\pi} : \tilde{W} \rightarrow \mathbb{P}'$ ,  
 extends  $\tilde{\pi}' : V' \rightarrow \mathbb{P}' \setminus S$ ,  $F(\tilde{\pi}(z), \eta(z)) \equiv 0$  in  $\tilde{W}$

**Remark:** The connectedness of  $\bar{V}'$  is equivalent to the following.

Let  $a \in \mathbb{P}' \setminus S$ . Let  $y_1 \dots y_n$  the gears at  $a$  fulfilling  $F(a, y_j(a)) = 0$ . Then  $\gamma_j \subset \gamma$  closed in  $\mathbb{P}' \setminus S$  starting at  $a$  such that the only  $h\gamma$  continuation of  $y_i$  along  $\gamma$  leads to  $y_j$ .



compare  
the example  
provided by  
cksps



# Riemann surface associated to a germ of a holomorphic function relap

$$f: (\mathbb{C}, x) \rightarrow \mathbb{C}$$

power series centred at  $x$ , with a non-zero radius of convergence

$$\mathcal{G} = \{ \text{germs of hol functions} \}$$

$$U \subset \mathbb{C} \quad f: U \rightarrow \mathbb{C} \quad \mathcal{U}(U, f) = \{ \text{germs } f_x \mid x \in U \}$$

open

take these as a base for a topology on  $\mathcal{G}$

The map

$$\mathcal{G} \rightarrow \mathbb{C}$$

$$\pi: f_x \mapsto x$$

is continuous (and it induces local homeomorphisms, upon restriction to  $\mathcal{U}(U, f)$ )

The above topology is Hausdorff. This is clear for  $x \neq y$ .

Now take  $f_x, g_x$ , germs of  $f$  and  $g$  on  $U$ .

If there exists  $h \in \mathcal{U}(U, f) \cap \mathcal{U}(U, g)$  then

(suitably restricted)  $f = g \Rightarrow f_x = g_x$ .

Otherwise  $f_x \neq g_x$ . This proves our assertion.

Let  $f_x: (\mathbb{C}, x) \rightarrow \mathbb{C}$  a germ.

Riemann surface of  $f_x$ :  $\mathcal{J}(f_x) :=$

$$f: U \rightarrow \mathbb{C}$$

connected component of  $\mathcal{G}$  containing  $f_x$

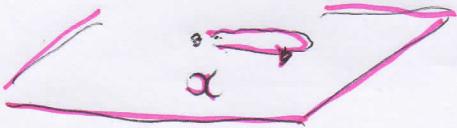
$$\mathcal{J}(f) = \bigcup_{x \in U} \mathcal{J}(f_x)$$

XIX-12

Concretely: The germs  $g_y$  are obtained by analytic continuation along a path  $\gamma$  joining  $x$  to  $y$  [as long as one acts in a simply connected open set, the result is independent of  $\gamma$  (monodromy theorem)]

If  $f$  is "multivalued"  $\pi^{-1}(f(z))$  consists of more than one pt (determinations of  $f$ ); one gets

$\pi^{-1}(z) = \{ \dots \}$



$\tilde{f}: \tilde{\mathcal{L}}(f) \rightarrow \mathbb{C}$  holomorphic and one-valued

Explicitly:  $\tilde{f}: \Omega \rightarrow f_z + \text{a branch of } f$

Poincaré-Volterra: The base is countable

(idea: use polygonal paths with vertices having rational coordinates, together with

the monodromy theorem)