

# Algebraic miscellanea

$K$  field ( $K$  algebraically closed; actually  $K = \mathbb{C}$ )

$A^n = A^n(K)$  affine space  $y_1, \dots, y_n$  = coordinates Prof. M. Spera

$\mathcal{O}$  : ideal in  $K[y_1, \dots, y_n]$   
polynomials

ALGEBRAIC GEOMETRY  
&  
RIEMANN SURFACES

$$V(\mathcal{O}) := \{ y \in A^n \mid f(y) = 0 \ \forall f \in \mathcal{O} \}$$

Lecture  
XXXVIII

Common zeroes of polynomials in  $\mathcal{O}$

$$= \{ y \in A^n \mid f_j(y) = 0, \ j = 1, \dots, n \}$$

( $\mathcal{O}$  is finitely generated)

$X = V(\mathcal{O})$  : algebraic set (affine alg. set)

$X$  irreducible :  $\nexists X = X_1 \cup X_2 \quad X_i \subsetneq X$

Properties  $A = K[y_1, \dots, y_n]$

(i)  $V(\{0\}) = A^n \quad V(A) = \emptyset$

(ii)  $\mathcal{O} \subset \mathcal{B} \Rightarrow V(\mathcal{B}) \subset V(\mathcal{O})$

(iii)  $V(\mathcal{O} \cap \mathcal{B}) = V(\mathcal{O}) \cup V(\mathcal{B})$

Proof of (c). Assume  $x \in V(\mathcal{O} \cap \mathcal{B})$  but  $x \notin V(\mathcal{O}) \cup V(\mathcal{B})$

$\exists f \in \mathcal{O}, g \in \mathcal{B}$  s.t.  $f(x) \neq 0, g(x) \neq 0$  Then  $fg \in \mathcal{O} \cap \mathcal{B}$

and  $(fg)(x) = f(x)g(x) \neq 0$ , contradicting  $x \in V(\mathcal{O} \cap \mathcal{B})$

$(f(x)g(x) = 0)$

iv  $V(\sum_{i \in I} \mathcal{O}_i) = \bigcap_{i \in I} V(\mathcal{O}_i)$

finite sums  
of elements in  
the  $\mathcal{O}_i$ 's



$$\sqrt{\mathcal{O}} := \{ g \in K[Y_1, Y_2, \dots, Y_n] \mid g^l \in \mathcal{O} \text{ for some } l \in \mathbb{N} \}$$

radical of  $\mathcal{O}$

Then 
$$\bar{V}(\mathcal{O}) = \bar{V}(\sqrt{\mathcal{O}})$$

Indeed  $\mathcal{O} \subset \sqrt{\mathcal{O}} \Rightarrow \bar{V}(\sqrt{\mathcal{O}}) \subset \bar{V}(\mathcal{O})$ . Conversely, let

$y \in \bar{V}(\mathcal{O})$ ,  $g \in \sqrt{\mathcal{O}}$ . Then  $g^l(y) = (g(y))^l = 0$

$\Rightarrow g(y) = 0 \Rightarrow y \in \bar{V}(\mathcal{O})$

$X: f=0 \rightsquigarrow I(X) = (f)$  hypersurface of  $\mathbb{A}^n$   
principal ideal

( $X$  is irreducible  $\Leftrightarrow f$  is irreducible by Hilbert's

**Nullstellensatz** (see below))

\*  $I$  - correspondence

$$I(X) = \{ f \in K[Y_1, \dots, Y_n] \mid f(x) = 0 \forall x \in X \}$$

\*  $I(X)$  is a radical ideal:  $I(X) = \sqrt{I(X)} = \{ f \mid f^l \in I(X) \text{ for some } l \in \mathbb{N} \}$

Clearly  $I(X) \subset \sqrt{I(X)}$ . Then,  $f \in \sqrt{I(X)} \Leftrightarrow$

$f^l \in I(X)$  for some  $l \in \mathbb{N}$

Therefore  $f^l(x) = 0 \forall x \in X$  for  $l=1, 2, \dots$

i.e.  $f(x) = 0 \forall x \in X$ , so  $f \in I(X)$

$\Rightarrow \sqrt{I(X)} \subset I(X) \quad \square$



For all  $\mathcal{O}$  ideals in  $K[Y_1, \dots, Y_n]$

strict, in general

$$X \subset V(I(X)) \quad \mathcal{O} \subset I(V(\mathcal{O}))$$

if  $X = V(\mathcal{O})$  then  
algebraic

$$X = V(I(X))$$

### \* Hilbert's Nullstellensatz

$K$  algebraically closed

(i)  $\mathcal{M}$ , maximal ideal of  $A = K[Y_1, \dots, Y_n]$  has the form

$$\mathcal{M}_p = (Y_1 - a_1, \dots, Y_n - a_n), \quad p = (a_1, \dots, a_n) \in A^n(K)$$

i.e.  $\mathcal{M}_p = I(p)$  polynomials vanishing at  $p$

(ii)  $\mathcal{O}$  ideal in  $A$  :  $\mathcal{O} \neq A \Rightarrow V(\mathcal{O}) \neq \emptyset$

(iii) for any  $\mathcal{O} \subset A$ ,  $I(V(\mathcal{O})) = \sqrt{\mathcal{O}}$

### \*\*\* Projective variety

$$X \subset \mathbb{P}^n$$

$$X = V(\mathcal{O}) \quad \mathcal{O} \text{ homogeneous ideal of } K[T_0, \dots, T_n]$$

$\mathcal{O}$  determines  $X$  but  $X$  does not determine  $\mathcal{O}$

$$\text{If } \sqrt{\mathcal{O}} = \sqrt{\mathcal{B}}, \text{ then } V(\mathcal{O}) = V(\mathcal{B})$$

Better, a projective variety is

$$(X, \mathcal{O}) \quad \text{projective scheme}$$

$$\text{"}$$

$V(\mathcal{O})$  of the (simpler!) classical viewpoint

→  $(X, \mathcal{O})$  reduced scheme if  $\sqrt{\mathcal{O}} = \mathcal{O}$

$(X, \mathcal{O})$  coincides with its support (zero locus)  
 $V(\mathcal{O})$

Also: projective variety:  $(X, K[X])$  ←

coordinate ring

$$K[X_0, \dots, X_n] / I(X)$$

rational functions:

$$K(X)$$

quotient field



# \*\*\* Zariski topology

Affine case  $X \subset \mathbb{A}^n$  algebraic sets  $X = V(\mathfrak{a})$   
 $\equiv$  closed sets for the Zariski topology on  $\mathbb{A}^n$

base of open sets:  $\mathbb{A}^n_f := \mathbb{A}^n - V(f) = \{y \in \mathbb{A}^n \mid f(y) \neq 0\}$   
 $\uparrow$  complements of hypersurfaces  $\uparrow$   $K[x_1, \dots, x_n]$   
 principal open sets principal closed sets

- $\mathbb{A}^n$  is not Hausdorff [Indeed, every  $U$  open,  $U \neq \emptyset$  is dense]
- $\mathbb{A}^n$  is  $T_2$  (Fréchet): given  $P, Q$ ,  $\exists U_P \ni P$ ,  $U_Q \not\ni Q$   
and vice versa
- $\mathbb{A}^n$  is compact (from every open covering of  $\mathbb{A}^n$  one can extract a finite one)
- If  $K = \mathbb{C}$ , the Zariski topology is coarser than the usual topology of  $\mathbb{C}^n \cong \mathbb{R}^{2n}$

given  $X$ , the Zariski topology on  $X$  is the one induced on  $X$  from the Z. topology on  $\mathbb{A}^n$

$X_f = X \setminus V(f) = \{x \in X \mid f(x) \neq 0\}$   
 principal open sets  $\rightarrow$  yield a base for the Z. topology

## \* Correspondences $V, I$ in the projective case

$J$ : homogeneous ideal of  $K[X_0 - X_n]$   $X \subset \mathbb{P}^n$

$$\rightarrow V(J) = \{x \in \mathbb{P}^n \mid f(x) = 0 \ \forall f \text{ homog. } \in J\}$$

$$\rightarrow I(X) = \{f \in K[X_0 - X_n] \mid f(x) = 0 \ \forall x \in X\}$$

$I(X)$  is homogeneous and  $I(X) = \sqrt{I(X)}$

(projective) Algebraic set:  $X = V(J)$   $J$  hom.  
"  $V(f_1 - f_m)$

projective variety  $(X, K[X])$   $K[X] = K[X_0 - X_n] / I(X)$   
" coordinate ring

$$X = V(J) = V(\sqrt{J})$$

$$J \subset I(V(J)) \ \forall J \ \text{mod} \ V(I(X)) = X$$

$X$  proj algebraic

Irrelevant ideal:  $(Y_0 - Y_n)$   $V(Y_0 - Y_n) = \emptyset$   
"standard exception"  $\text{in } \mathbb{P}^n!$

## Homogeneous Hilbert Nullstellenatz

$K$  algebraically closed.  $\forall J$  homogeneous

(i)  $V(J) = \emptyset \Leftrightarrow (Y_0 - Y_n) \subset \sqrt{J}$

(ii) If  $V(J) \neq \emptyset$ , then  $I(V(J)) = \sqrt{J}$



## \*\*\* Zariski topology (projective case)

closed sets:  $X \subset \mathbb{P}^n$   $X$  algebraic set

base of open sets:  $\mathbb{P}^n \setminus V(f) = \{x \in \mathbb{P}^n \mid f(x) \neq 0\}$   
principal open sets

$\mathbb{P}^n$  can be covered by  $n+1$  special open sets

standard affine charts

$$U_i = \mathbb{P}^n_{x_i} = \{[\alpha_0, \dots, \alpha_n] \mid \alpha_i \neq 0\} \quad i=0, \dots, n$$

Zariski on  $U_i$ : induced topology

Let  $X \subset \mathbb{P}^n$  algebraic,  $I(X)$  its associated homogeneous ideal  
Let  $X \cap X_i = \emptyset \quad i=0, \dots, n$

$X_{(i)} := X \cap U_i$   $\leftarrow$  affine standard charts of  $X$

### \* generic objects

$\{X_p \mid p \in \mathcal{P}\}$   $\leftarrow$  irreducible algebraic set

||| = The generic  $X_p$  has property  $P$   $\iff$  the  $p$ 's such that  $X_p$  has property  $P$  constitute a nonempty open set

## \* Field of fractions of an integral domain

A integral domain

$S := A \setminus \{0\}$  localize:

$$\text{Frac}(A) = A \times S / \sim$$

$$(a, s) \sim (a', s') \Leftrightarrow a s' = a' s \quad \left( \text{"} \frac{a}{s} = \frac{a'}{s'} \text{"} \right)$$

$$\text{Frac}(A) = \left\{ \frac{a}{b}, a, b \in A, b \neq 0; \frac{a}{b} = \frac{a'}{b'} \Leftrightarrow a b' = a' b \right\}$$

Concretely

$\rightarrow X \subset \mathbb{A}^n$  algebraic set (equipped with the Zariski topology)

$$K(X) = \left\{ \frac{g}{h}, g, h \in K[X] / h \neq 0; \frac{g}{h} = \frac{g'}{h'} \Leftrightarrow g h' = g' h \right\}$$

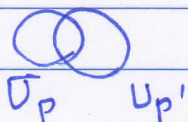
$f \in K(X)$ : rational function

$U \subset X$  open;  $P \in U$   $f \in K(X)$  regular at  $P$

if  $\exists U_P \ni P$  s.t.

$$f = \frac{g}{h}, h(x) \neq 0 \forall x \in U_P \quad \text{local representation}$$

$f$  regular on  $U$ :  $f$  regular at each  $x \in U$



$$f = \frac{g}{h} = \frac{g'}{h'}$$

$$\text{dom}(f) = \{ \text{regular pts} \}$$

$\{ \}$   $\text{dom}(f)$  is open dense in  $X$  and

$\{ \}$   $\text{dom}(f) = X$  if and only if  $f \in K[X]$  ( $f$  is a polynomial)



## \* Field of fractions

quotient field of  $K[X]$

$X$  irreducible algebraic set  $(\subset \mathbb{P}^n)$

$I(X)$  associated to  $X$

$$f = \frac{F}{I(X)}$$

↑  
homogeneous

$f$  rational function  $f: X \rightarrow K$

$$f(x) = \frac{g(x)}{h(x)} \quad x \in X \quad g, h \text{ homogeneous,} \\ \text{having the same degree}$$

$$K(X) := \left\{ \frac{g}{h} \mid g, h \in K[X_0, \dots, X_n] \text{ hom, same degree} \right\} / \sim \\ h \notin I(X)$$

$$\sim : \frac{g}{h} \sim \frac{g'}{h'} \Leftrightarrow gh' - g'h \in I(X)$$

$$\text{dom}(f) = \{ x \in X \mid f \text{ regular at } x \}$$

$$f = \frac{g}{h} \quad h(x) \neq 0$$

$$\mathcal{O}_{X, x} = \{ f \in K(X) \mid f \text{ regular at } x \}$$

local ring of  $X$  at  $x$



\* Rational maps  $\varphi: X \rightarrow \mathbb{A}^m$   
 $\mathbb{P}^m$

$$\varphi(x) := (f_1(x) \dots f_m(x)) \quad x \in X$$

well defined in  $\bigcap_{j=1}^m \text{dom } f_j$   
 $f_i \in K(X)$

$$\varphi(x) := [f_0(x), \dots, f_m(x)] \quad x \in X$$

well defined on the open dense set

$$\bigcap_{i=1}^{m+1} \text{dom}(f_i) = \{x \in X \mid f_0(x) = \dots = f_m(x) = 0\}$$

if  $g \neq 0$ , then  $gf_i \quad i=0, \dots, m$  yield the same map

\* regular rational map  $\varphi: X \rightarrow \mathbb{P}^m$  at  $x \in X$

if there exists  $\varphi = (f_0, \dots, f_m)$   $f_i \in K(X)$  such that

(i)  $f_j, j=0, \dots, m$  is regular at  $x$

(ii)  $f_j(x) \neq 0$  for some  $j$

The set whereon  $\varphi$  is regular is termed domain of  $\varphi$   
 (it is an open set)  $\text{dom}(\varphi)$

let  $\varphi: X \rightarrow W \subset \mathbb{P}^n$

algebraic set

$\varphi$  is called dominant (Zariski)

if  $\varphi(\text{dom}(\varphi))$  is dense<sup>+</sup> in  $\bar{W}$

i.e.  $\bar{W} = \overline{\varphi(\text{dom}(\varphi))}$   $\leftarrow$  closure in Zariski's topology



## \* Morphisms

$U \subset X$  open in  $X$  (proj. variety)

$$\left. \varphi : U \rightarrow W \quad \text{morphism} \right\}$$

rational map  $\varphi : X \rightarrow \bar{W}$  with  $U \subset \text{dom}(\varphi)$   
i.e. a rational map regular throughout  $\bar{U}$ .

$$\left[ \begin{array}{l} \varphi : X \rightarrow \bar{W} \quad \text{birational isomorphism} \\ \text{(or transformation)} \\ [X \text{ and } \bar{W} \text{ are birationally equivalent}] \end{array} \right. \begin{array}{l} X, W \\ \text{projective} \\ \text{varieties} \end{array}$$

if  $\exists \psi : W \rightarrow X$  inverting  $\varphi$ :

$$\varphi \circ \psi = \text{id}_W, \quad \psi \circ \varphi = \text{id}_X$$

Then the following assertions are equivalent:

- (i)  $\varphi$  is a birational equivalence  $\varphi : X \rightarrow W$   
(ii)  $\varphi$  is dominant and

$$\varphi^* : K(W) \rightarrow K(X) \quad \text{is an isomorphism.}$$

pull-back

- (iii)  $\exists X_0 \subset X, W_0 \subset W$  (open sets) s.t.

$$\varphi|_{X_0} \xrightarrow{\sim} \bar{W}_0$$



Given a projective (or affine) variety,  
the following are equivalent

(i)  $K(X)$  is a purely transcendental extension  
of  $K$  :  $K(X) \cong K(t_1, \dots, t_d)$  for some  $d$

(ii)  $\exists X_0 \subset X$  open dense isomorphic  
to  $U_0 \subset \mathbb{A}^d$  open dense

Such a variety is called rational

(ii) tells us that  $X$  can be parametrized by  $d$   
independent variables

\*\*\* Every projective variety is birationally equivalent  
to a hypersurface

||| In particular, every curve (in any  $\mathbb{P}^n$ )  
is birationally equivalent to a plane curve

**dimension of  $X \subset \mathbb{P}^n$**   
 projective variety (irreducible)

$\dim(X) = t\text{-deg}_K K(X) \leftarrow$  coordinate ring of  $X$   
 $\underbrace{\text{transcendence degree}}_{\text{degree}} \parallel \begin{matrix} \text{field of} \\ \text{fractions of} \\ \text{(rational functions)} \end{matrix} \rightarrow K[Y_0, \dots, Y_n] / \mathbf{I}(X) \equiv K[X]$   
 $X = \bar{V}(\mathbf{I}(X))$

one can prove that

$\dim X = \max \{ r \in \mathbb{N} \mid$

such that  $S_{n-r} \subset \mathbb{P}^n$ , generic, meets  $X$   
 in a finite number of points

Examples • plane curve  $\mathcal{C}$   $n=2$  : a generic  
 line  $l$  ( $S_1$ ) meets  $\mathcal{C}$  in a finite number of pts  
 $\parallel$   
 $2-1$   $\dim \mathcal{C} = 1$



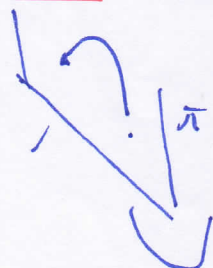
• Surface  $\Sigma$  in  $\mathbb{P}^3$   $n=3$   $\dim \Sigma = 2$

$3 - 2 = 1$   
 $n \quad r$

$S_2$  is a line  $l$ ,



• irreducible curve  $\mathcal{C}$  in  $\mathbb{P}^3$



$\dim \mathcal{C} = 1$   
 a generic  
 plane  $\pi$   
 meets  $\mathcal{C}$   
 in a finite # of pts

$n=3$   
 $r=1$   
 $n-r=2$



★ degree (order) of a projective  $n$ -dimensional variety  $X \subset \mathbb{P}^n$

$$\deg(X) = \# \text{ points of } X \text{ in common with a generic } S_{n-1} \subset \mathbb{P}^{n-1}$$

Examples •  $n=2$   $X$  plane curve:  $n=1$

$$\deg X = \#(X \cap l) \quad l: \text{generic line}$$

•  $n=3$   $X$  space curve

$$\deg X = \#(X \cap \pi) \quad \pi: \text{generic plane}$$



$\mathcal{C}$  (plane) rational curve  
 $g=0$

$$K(\mathcal{C}) = K(\mathbb{P}^1)$$

$$\dim \mathcal{C} = \dim \mathbb{P}^1 = 1$$

$\mathcal{C}$  elliptic curve

$$K(\mathcal{C}) = K(\mathbb{P}^1)(\mathbb{P}')$$

transcendental extension of  $K = \mathbb{C}$   $\uparrow$  algebraic extension of  $K(\mathbb{P}^1)$

$$\dim \mathcal{C} = 1$$

\* Tangent space to  $X \subset \mathbb{A}^n$  (affine variety)  
at  $x \in X$  (intrinsic formulation)

$x \in X \subset \mathbb{A}^n$  Assume w.l.o.g.  $x = 0$

$\mathfrak{m}_x$ : ideal of  $x$  in  $k[X]$

$\mathfrak{M}_x$ : ideal of  $x$  in  $k[Y]$

$$\mathfrak{m}_x \cong \mathfrak{M}_x / I(X)$$

Moreover

$$T_x(X) \cong \mathfrak{m}_x / \mathfrak{m}_x^2$$

↓ dual

at least quadratic terms

$$\dim X = \dim T_x(X)$$

If  $f \in k[X]$ , with  $f(x) \neq 0$ ,  $X_f \subset X$  principal open set, then  $T_x(X_f) \xrightarrow{\text{iso}} T_x(X)$

Local parameters

$X$  affine variety,  $\dim X = n$ ,  $x$  non singular  $\rightarrow$   
"algebraic Dini",  $\text{rk} \left( \frac{\partial (f_1, \dots, f_m)}{\partial (x_1, x_2, \dots, x_n)} \right) = n - \dim X$

$\mathcal{O}_{X,x}$  local ring

$u_1, \dots, u_m$  local parameters: basis of  $\mathfrak{m}_x / \mathfrak{m}_x^2$

$$\mathcal{O}_{X,x} = \{ f \in k(X) \mid f \text{ regular at } x \}$$

↑ fractions

local ring  
(sub ring of  $k(X)$ )

$f$  regular at  $x$ :  $f = \frac{g}{h}$   
with  $h(x) \neq 0$

(deg  $g = \text{deg } h$   
in the proj case)