

# GEOMETRIC METHODS IN QUANTUM MECHANICS

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Lecture XI - The Sato-Segal-Wilson  
grassmannian

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# THE KLEIN QUADRIC

$\text{Gr}(4, 2)$  planes ( $\mathbb{C}^2$ ) in  $\mathbb{C}^4$   
 $\cong$  lines ( $\mathbb{P}^1$ ) in  $\mathbb{P}^3$



$$P_1: (x_0, x_1, x_2, x_3)$$

$$P_2: (y_0, y_1, y_2, y_3)$$

$$P_{ij} := \begin{vmatrix} x_i & x_j \\ y_i & y_j \end{vmatrix}$$

Plicker coordinates  
 homogeneous  
 coordinates in  $\mathbb{P}^5$

$$\begin{vmatrix} x_0 & \dots & x_3 \\ y_0 & \dots & y_3 \\ x_0 & \dots & x_3 \\ y_0 & \dots & y_3 \end{vmatrix} = 0 \Rightarrow$$

$$P_{01}P_{23} - P_{02}P_{13} + P_{03}P_{12} = 0$$

$$\Rightarrow \text{Gr}(4, 2) \cong Q \hookrightarrow \mathbb{P}^5$$

★  $Q$ : Klein quadric

The embedding

$$\text{Gr}(4, 2) \cong Q \xrightarrow{\text{Plücker}} \mathbb{P}^5$$

is realized à la Kodaira via  $\text{Det}^*$   
(dual of  $\text{det}$ )

$$\begin{array}{ccc} \text{Gr}(4, 2) \ni \bar{w} & \longmapsto & \langle w_1, w_2 \rangle \equiv \text{Det}_{\bar{w}} \\ & & \begin{array}{l} \text{orthonormal} \\ \text{basis} \end{array} \end{array} \quad \begin{array}{l} \text{determinant} \\ \text{line} \end{array}$$
  

$$\begin{array}{ccc} \text{Det} = \mathbb{P}l^* \mathcal{O}(-1) & & \mathcal{O}(-1) \\ \downarrow & & \downarrow \\ \text{Gr}(4, 2) & \xrightarrow{\text{Pl}} & \mathbb{P}^5 \end{array} \quad \begin{array}{l} \text{tautological} \\ \text{bundle} \\ [w] \mapsto \langle v \\ v \neq 0 \end{array}$$

Also:

$$\text{Gr}(4, 2) \cong \frac{U(4)}{U(2) \times U(2)}$$

one realizes an irreducible unitary representation of  $U(4)$  on the space of holomorphic sections of  $\text{Det}^*$

(Borel - Weil)

• Infinite dimensional spinors &  $\mathbb{Z}_2$ s

$(H, g)$  real Hilbert space  $\sim \mathcal{C}\ell_{\mathbb{R}}(H, g)$

real clifford algebra  $\sim \mathcal{C}\ell_{\mathbb{R}}(H, g)$

Complex clifford algebra

$$\mathcal{C}\ell_{\mathbb{C}}(H, g) \cong \text{CAR}(W)$$

Complex clifford algebra

CAR algebra

$W \subset H^{\mathbb{C}}$  isotropic w.r. to  $g^{\mathbb{C}}$

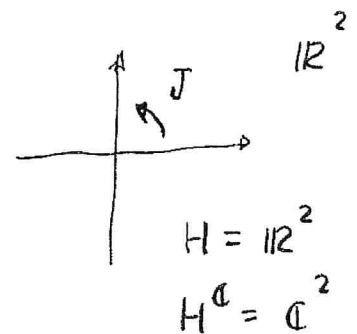
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$J_W$  complex structure on  $H$

$$(J_W^2 = -I)$$

$S$ : spinors acted on by  $\text{CAR}(W)$

Majorana - Fock space



$\Lambda W$

exterior algebra

Infinite dimensional orthogonal group representation theory Brauer Weyl Cartan Shale - Stinespring

$$\tilde{\mathcal{I}}_{res}(H^0, \tilde{W}) := \left\{ \begin{array}{l} W' \mid P_{W'} - P_W \in L^2 \\ \S \\ \mathcal{I}_{W'} \end{array} \right\}$$

restricted isotropic Grassmannian

Shale-Stinespring

basic example

$\mathcal{O}_{res}$  - homogeneous Kähler manifold

$H^0 = L^2(S^1, \mathbb{C})$

$W = H_+$  non negative Fourier modes

$$S \cong \Gamma_{hol}^{L^2}(Pf \xrightarrow{*} \tilde{\mathcal{I}}_{res})$$

"bosonization"

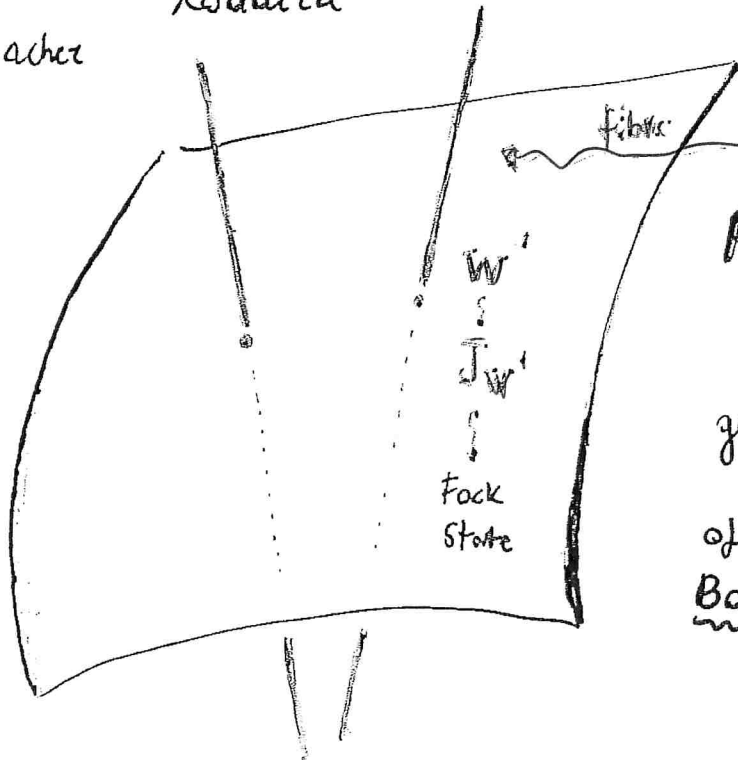
### Borel-Weil for $\mathcal{O}_{res}$

$$\tilde{\mathcal{I}}_{res} \xrightarrow{i} \mathbb{P}(S)$$

Kodaira

$\tilde{\mathcal{O}}_{res}$  univ. central extension

— & T. Wurzbacher  
1998



$Pf$ :  
Pfaffian line bundle  
geometric interpretation of Bogolubov automorphisms

\*  $\text{Gr}_{\text{res}}(H, H_+)$

$$H = \underset{\infty}{H_+} \oplus \underset{\infty}{H_-}$$

$$H = L^2(S^1, \mathbb{C})$$

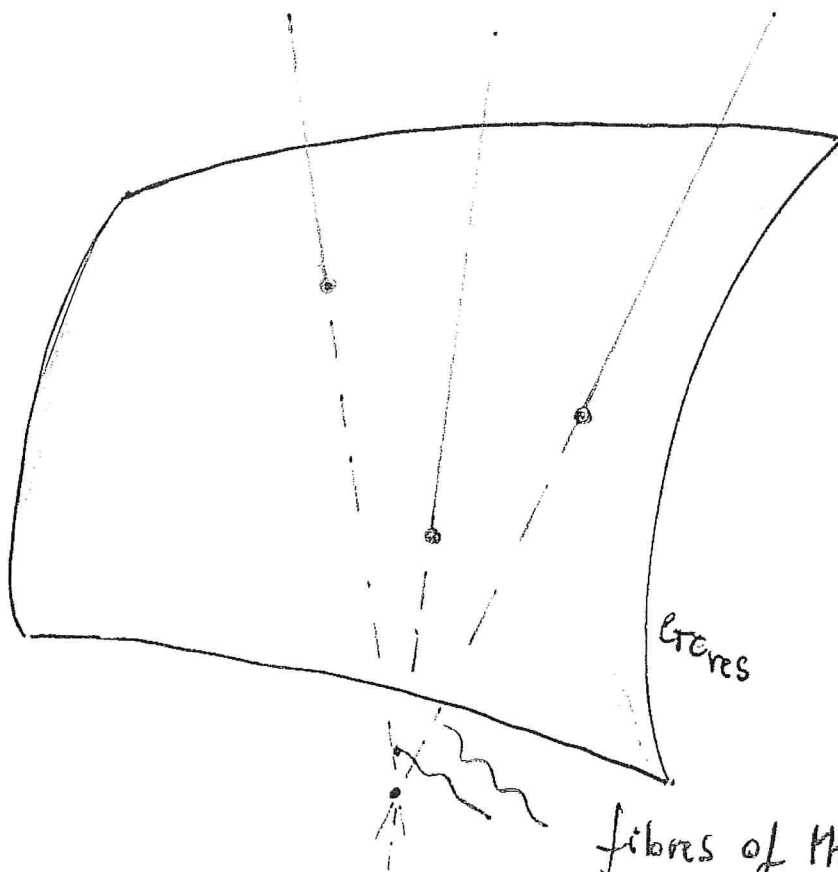
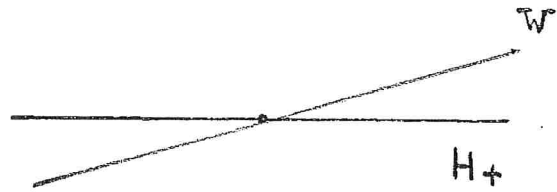
$H_+$ : non negative Fourier modes

$$W \in \text{Gr}_{\text{res}} \iff P_W - P_+ \in \text{HS}$$

↑    ↑  
projections

"W" close to  $H_+$

— & Valli '94)



$\mathcal{H}_{P_+}$

"CAR-algebra representation space pertaining to a (pure) quasi-free state"

determinant line bundle Det

"  $TV \sim w_1 \wedge w_2 \wedge \dots$  orthonormal basis "

$$\text{CAR}(H) : [a^*(f), a(g)] = \langle f | g \rangle_H \mathbb{I}$$

(Complex Clifford algebra)

$$[a(f), a(g)] = 0$$

$a^*$  creation operators  
 $a$  annihilation operators

(fermionic systems)

$\text{Det}^*$   
 $\downarrow$   
 $\text{Gr}_{\text{res}}$

$\mathcal{O}(1)$  ← hyperplane bundle  
 $\downarrow$



Plücker embedding

cf. Klein quadric

$\lambda \in \Lambda$

$$a^*(\lambda) \Lambda = 0 \equiv \text{Pauli exclusion Principle}$$

(-, Valli (1994))

$$\text{Gr}_{\text{res}}(H, H_+) =$$

$$\frac{\mathcal{O}_{\text{res}}(H)}{\mathcal{U}(H_+) \times \mathcal{U}(H_-)}$$

homogeneous  
 Kähler  
 manifold

$$\mathcal{U}_{\text{res}}(H) = \{ u \in \mathcal{U}(H) \mid [u, J] \in \text{HS} \}$$

$$J: P_+ - P_-$$

restricted  
 unitary  
 group

$\text{Gr}_{\text{res}}$ : hermitian symmetric space

$$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

polarization operator

(— & T. Wurthbacher (2000))

# SCHEME

## GEOMETRY

### FUNCTIONAL ANALYSIS

Tools I

- \* GNS theorem
- \* CAR algebra
- \* Jones invariant quasi free states
- \* The group  $U(K)$

\* Powers - Stromer's theorem

Dirac

\*  $\text{Gr}(K, K_+)$

\* Det

(  $U_{\text{res}}(K)$  - homogeneous )

\* Borel Weil :

$$\Gamma_{\mathcal{O}}^2(\text{Det}^*) = H_{E_+}^{A(K)} \text{ GNS}$$

\*  $\tilde{U}_{\text{res}}(K)$  : central extension of  $U_{\text{res}}(K)$  by  $U(1) \cong S^1$

Majorana

Tools II

- \* Clifford algebra
- \* Pin group  $O(H)$

\* Bogolubov Weyl functions

\* Shale - Stinespring's theorem

\*  $\mathcal{Y}_{\text{res}}(H)$

Siegel manifold isotropic grassmannian

\* Pf

(  $O_{\text{res}}(H)$  homo )

\*  $\tilde{O}_{\text{res}}(H)$  central extension of  $O_{\text{res}}(H)$  by  $U(1)$



$$S = \Gamma_{\sigma}^2 (Pf^*) = H_{IW}^{A(W)} \quad \text{GNS}$$

Spin<sup>c</sup> representation (Borel-Weil)  
of  $\tilde{O}_{res}(H)$

Spin<sup>c</sup> representation of  $\tilde{O}_{res}(H)$

\* Yukawa-anti-Yukawa  
correspondence  
( $\infty$ -dim Hodge operator)

$$\text{Def} \Big|_{\tilde{O}_{res}(H)} = Pf^{\otimes 2}$$

\* Plücker's Equations  
embedding

$$\text{Gr}(K, K+) \hookrightarrow P(H) \cong H_{E+}^{A(K)}$$

# TOOLS I

## • GNS construction

$A$  (unital)  $C^*$  algebra

Banach  $*$ -algebra with  
 unit satisfying  
 $\|a^*a\| = \|a\|^2 \quad \forall a \in A$

$\omega$  state on  $A$

positive linear functional of  
 norm 1  
 $\omega(I) = 1$

$\exists!$  (up to unitary equivalence)

$(\pi_\omega, H_\omega, \xi_\omega)$  GNS triple

↑  
 representation

↑  
 Hilbert  
 space

↑  
 cyclic  
 vector

such that

$$\omega(a) = \langle \xi_\omega, \pi_\omega(a) \xi_\omega \rangle_{H_\omega}$$

If  $\omega$  is faithful ( $\omega(a^*a) = 0$  iff  $a = 0$ )  
 ( $\geq 0$ )

then  $H_\omega = \bar{A}$ ;  $\langle a, b \rangle = \omega(a^*b)$ ,  $\xi_\omega = I$

• The CAR algebra

canonical  
anti commutation  
relations

K complex separable Hilbert space  
one particle space

$f \mapsto a(f)^*$  creation operator  
linear

$f \mapsto a(f)$  annihilation operator  
antilinear

$$\begin{cases} [a(f)^*, a(g)]_+ = \langle f | g \rangle E \\ [a(f), a(g)]_+ = 0 \quad \forall f, g \in K \end{cases}$$

• trace-invariant quasi-free states

$$\mathcal{W}_E \quad , \quad E = E^2 = E^* E \quad (B(K))$$

completely determined by its 2-pt functions

$$\mathcal{W}_E(a(f)^* a(g)) = \langle f, E g \rangle$$

$E = 0$  Fock state (vacuum)

$$H_0 \equiv H_{W_0} = \Lambda K = \bigoplus_{n=0}^{\infty} \Lambda^n K \quad \Lambda^0 K = \mathbb{C} \Omega$$

$a(f)^*$  wedge

$a(f)$  contraction

$E = I$  multi-Fock state (full)

Fock - anti-Fock correspondence

$$\begin{aligned} \Lambda(K) &\xrightarrow{\cong} \Lambda(K^*) \\ a(f) &\rightarrow a(f^*)^* \end{aligned} \quad \begin{array}{l} K^*: \text{dual} \\ \text{of } K \end{array}$$

$$\begin{aligned} \pi_0 &\rightarrow \pi_I^* \\ \pi_I &\rightarrow \pi_0^* \end{aligned} \quad (\text{Dirac sea...})$$

"Intrinsic" representation of  $\pi_E \equiv \pi_{W_E}$

$$\sum_E = f_1 \wedge f_2 \wedge \dots$$

orthonormal basis of  $EK$



$$\begin{cases} \pi_E (a(f)^*) \xi_E = 0 & \forall f \in EK \\ \pi_E (a(f^\perp)) \xi_E = 0 & f^\perp \in (1-E)K \end{cases}$$

★ Powers - Stormer's theorem

$$\pi_E \cong \pi_F \iff E - F \in H.S.$$

unitary  
equivalence

Hilbert  
Schmidt

## CONSTRUCTION OF Det

Let  $H = H_+ \oplus H_-$  be a complex, separable, polarized Hilbert space (typical example  $H = L^2(S^1, \mathbb{C})$  with

$$\text{Fourier polarization}) \quad J = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$= E_+ - E_-$  polarization operator

$J^2 = I$  Starting from this, one has

the Segal-Wilson Grassmannian, which admits the following characterization

(-, Valli 194)

$$\star \text{Err}(H, H_+) = \left\{ W \text{ closed subspace of } H \mid E_W - E_+ \in \text{H.S.} \right\}$$

Err(H, H<sub>+</sub>) is a U<sub>res</sub>(H) - homogeneous  
Kähler manifold where

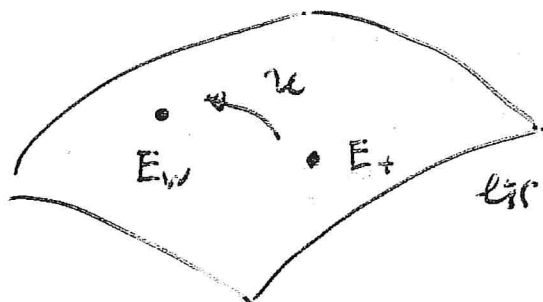
$$U_{\text{res}}(H) = \left\{ u \in U(H) \mid [u, J] \in \text{H.S.} \right\}$$

unitary group

Any  $u \in U(H)$  induces via the  
formula  $a(f) \mapsto a(uf)$  an  
automorphism  $\alpha_u$  of  $A(H)$ .

If  $W = uE_+u^{-1}$  one gets, by Powers-Størmer

$$\pi_{E_W} \cong \pi_{E_+} \circ \alpha_u \cong \pi_{E_+} \quad ; \quad u \in U_{\text{res}}(H)$$





$$\Rightarrow \exists \tilde{u} \in PU(H_{E_+})$$

projective unitary

such that

$$u_{g_2} \tilde{u}_{g_2} = c(g_1, g_2) u_{g_1}$$

$$\pi_{E_W} = \tilde{u} \circ \pi_{E_+} \circ \tilde{u}^{-1}$$

central extension of  $U_{res}(H)$

$\Rightarrow$

$$W_{E_+} \rightsquigarrow \sum_{E_+}$$

$$W_{E_W} \rightsquigarrow \sum_{E_W}$$

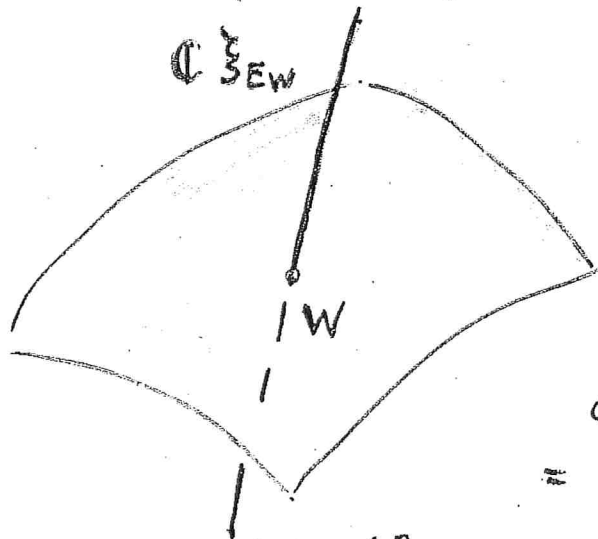
$$\in H_{E_+}$$

vectors  
in the  
same  
space

★ The lines  $\mathbb{C} \sum_{E_W}$

$$W \in Gr(H, H_+)$$

yield the fibres of  $Det$



one gets  
local triviality  
and  
equivariance.

and G.R.

$$\text{curvature} = \text{p.b. F-S} \\ = \text{Schwinger term}$$

# TOOLS II

- Clifford algebra  $C(H)$

complex  $C^*$ -algebra generated by  $\varphi(f)$ ,  $f \in H = H_{\mathbb{R}}$  real Hilbert space  
subject to the relations

$$[\varphi(f), \varphi(g)]_+ = (f, g)_H I$$

- Clifford algebra  $C(H_{\mathbb{C}}, B)$

$$H_{\mathbb{C}} = H_{\mathbb{R}} \otimes_{\mathbb{R}} \mathbb{C}$$

complexification

$$B = (, )_{\mathbb{C}}$$

complex bilinear form extending  $(, )$

The choice of a complex structure on  $H_{\mathbb{R}}$  :  $J : H_{\mathbb{R}} \rightarrow H_{\mathbb{R}}$   $J^2 = -I$   
gives rise to an isotropic subspace  $W$  of  $H_{\mathbb{C}}$  (with respect to  $B$ ) and to a  $C^*$ -algebra isomorphism

$$C(H_{\mathbb{C}}, B) \cong A(W)$$



$$H_{\mathbb{C}} \cong H \oplus \bar{H}$$

$$\cong W \oplus \bar{W}$$

$$\text{Eig}(J^{\mathbb{C}}, -i)$$

$$\text{Eig}(J^{\mathbb{C}}, +i)$$

$$\varphi(f) = \frac{1}{\sqrt{2}} (a(f) + a(f)^*)$$

$$a(f) = \frac{1}{\sqrt{2}} (\varphi(f) - i\varphi(Jf))$$

- Action of  $O(H)$

orthogonal group

$O(H)$  acts on  $C(H)$  and hence on

$C(H_{\mathbb{C}}, B) \cong A(W)$  via  $*$ -automorphisms

$\alpha_0$  ( $0 \in O(H)$ ) "Bogolubov transformations"

- Unitary implementation of  $\alpha_0$

When  $\cong$

$$\pi_{I_W}^{A(W)} \circ \alpha_0 \cong \pi_{I_W}^{A(W)} \quad (\text{say}) \quad ?$$

that is

$$\star \pi(\alpha_0(a)) = \tilde{O} \cdot \pi(a) \cdot \tilde{O}^{-1}$$
$$\tilde{O} \in PU(H_\pi)$$

?

The answer is provided by the

Schale - Stinespring theorem

which asserts (suitably reformulated)

that this is possible iff

$$[O, J] \in \text{H.S.}$$

$$\Leftrightarrow (O \in \mathcal{O}_{\text{res}}(H))$$

$\Downarrow$   
 $J_W$

If  $Y = O_W$   $\pi_{\mathbb{R}^W}^{A(W)} \circ \alpha_0$  corresponds to

$\pi_{\mathbb{R}^Y}^{A(Y)}$

this is equivalent to  $J_Y - J_W \in \text{H.S.}$

• Fock states

The possible Fock states are parametrized

by the Siegel manifold  $\mathcal{Y}(H) = \frac{O(H)}{U(H)}$

consisting of the complex structures of  $H = H_{\mathbb{R}^2}$ . This follows

from

transformation leaving a fixed complex structure fixed

$$\text{Two-point functions} \quad \text{w/o } (\varphi(f) \varphi(g)) = \langle f, g \rangle_J = (f, g) + i(f, Jg)$$

• the polarized case

$$\text{Let } H = H_+ \oplus H_-$$

and regard it as a real Hilbert space

$$\text{we have } H_{\mathbb{C}} = W \oplus \bar{W}$$

$$\cong H \oplus \bar{H}$$

$$\text{with } W = H_+ \oplus \bar{H}_-$$

# CONSTRUCTION OF Pf

One considers

$$\mathcal{Y}_{res}(H) = \frac{O_{res}(H)}{U(H)}$$

\* restricted Siegel manifold  
or isotropic Grassmannian

By virtue of the Shale-Stinespring theorem, the possible anti-Yock states (in the restricted class) become vector states

of  $H_{I_W}^{A(W)}$

$$W \rightsquigarrow \mathcal{W}_{I_W} \rightsquigarrow \mathbb{C} \sum_{I_W} \in H_{I_W}^{A(W)}$$

$$OW = Y \rightsquigarrow \mathcal{W}_{I_W} \circ \alpha \rightsquigarrow \mathbb{C} \sum_Y \in H_{I_W}$$

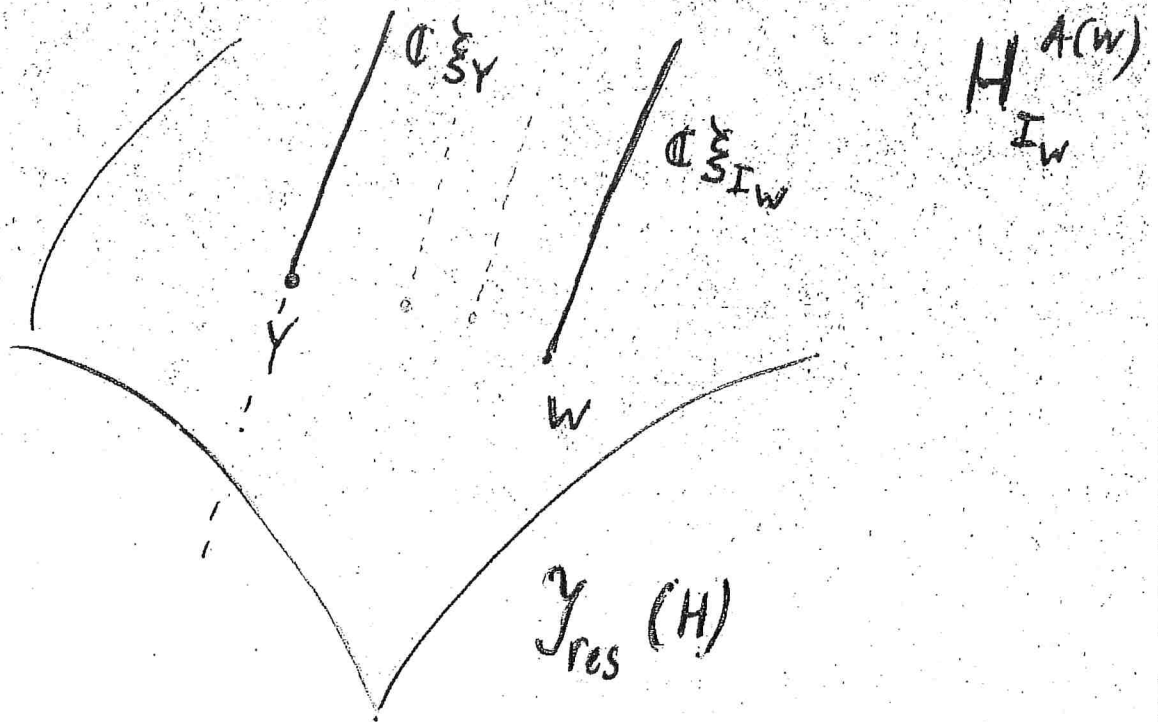
$$\mathbb{C} \sum_Y \equiv Pf_Y$$

$$\parallel$$

$$\tilde{O} - Pf_W$$

fibre of the Pfaffian  
line bundle  
over  $\mathcal{Y}_{res}(H)$





PROOF OF

$$\text{Det} \begin{vmatrix} y_{\text{res}}(H) \end{vmatrix} = Pf^{\otimes 2}$$

via Powers - Stormer purification  
and the Yock - anti - Yock  
Correspondence

purification

$$\mathcal{W}_{I_W} \rightarrow \mathcal{W}_{I_W \oplus \bar{O}_W} = \mathcal{W}_{E_W}$$

$$A(W) \cong A(W \oplus \bar{O}) \hookrightarrow A(He)$$

$$\mathcal{K}_{I_W}(A(W)) \hat{\otimes} \mathcal{K}_{\bar{O}_W}(A(\bar{W})) \cong \mathcal{K}_{E_W}(A(He))$$

⚠ caveat

⚡ fock-anti-fock

$$\mathcal{K}_{I_W}(A(W))$$

$$\mathcal{K}_{I_W}(A(W)) \hat{\otimes} \mathcal{K}_{I_W}(A(W)) \cong \mathcal{K}_{E_W}(A(He))$$

$$\mathcal{H}_{I_W}^{A(W)} \hat{\otimes} \mathcal{H}_{I_W}^{A(W)} \cong \mathcal{H}_{E_W}^{A(He)}$$

⇓



$$\text{Pf}_W \otimes \text{Pf}_W = \text{Det}_W$$

Y                      Y                      Y

by equivariance

# BOREL - WEIL

$$S := H_{I_W}^{A(W)} = \Gamma_0^2(Pf^*)$$

spinors (bosonization)

realizes, a' la Borel-Weil, the  $\text{Spin}^c$  representation of  $O_{\text{res}}(H)^\vee$ , central extension of  $O_{\text{res}}(H)$

# PLÜCKER EMBEDDING

$$\text{Gr}(K, K_+) \hookrightarrow P(H)$$

"  $H_{E_+}^{A(K)}$

$$w \in W$$

$$\bar{w} \in \text{Gr}(K, K_+) \quad W \sim [w_1, w_2, \dots]$$

orthonormal basis

$$a(w)^* \bar{w} = 0$$

PAULI EXCLUSION PRINCIPLE

$$\mathcal{S} = \{ S \subseteq \mathbb{Z} \mid S - \mathbb{N} \text{ and } \mathbb{N} - S \text{ are finite} \}$$

$$H = \ell^2(\mathcal{S}) \cong H_{E+}$$

$$K_S = [z^i, i \in S]$$

$$\bar{\mu}_S(w) = \langle w, K_S \rangle$$

Plücker coordinates

Representation

$$a(z^i)^* K_S = \epsilon(i, S) K_{S \cup \{i\}}$$

$$\epsilon = \begin{cases} \pm 1 & \\ 0 & i \in S \end{cases}$$



# SEGRE EMBEDDING

$$\mathcal{S} : \text{Gr}(H, H_+) \times \text{Gr}(H, H_+) \hookrightarrow \text{Gr}(H \oplus W)$$

$$(W_1, W_2) \mapsto W_1 \oplus \overline{W_2^\perp}$$

explicitly

$$\pi_{(S, T')} (W_1 \oplus \overline{W_2^\perp}) = \pi_S(W_1) \pi_{T'}(W_2)$$

Plücker coordinates

Recall that, in finite dimensions

$$\mathbb{P}^r \times \mathbb{P}^s \hookrightarrow \mathbb{P}^{rs+r+s}$$

$$((s_i), (w_i)) \mapsto (\sum_i s_i w_i)$$

$$\text{Gr}(H, H_+) \hookrightarrow \mathcal{Y}_{\text{res}}(H) \hookrightarrow \text{Gr}(H \oplus W)$$

$$\text{Pf} \Big|_{\text{Gr}(H, H_+)} = \text{Det} \dots$$